

JOINT SYSTEMS PROGRAMS OFFICE  
National Weather Service  
National Oceanic and Atmospheric Administration  
Department of Commerce  
Rockville, Maryland

Prepared for

Revised July 1986

October 1984

Dale Sirmans, Dusan Zrnica, Mangalore Sachidananda  
National Severe Storms Laboratory  
National Oceanic and Atmospheric Administration  
Department of Commerce  
1313 Halley Circle  
Norman, Oklahoma 73069

DOPPLER RADAR DUAL POLARIZATION  
CONSIDERATIONS FOR NEXRAD

**DRAFT**

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## 1. INTRODUCTION

In formulating technical requirements for the Next Generation Weather Radar (NEXRAD), several features which would enhance the system capability were considered although they were not required for the prime mission of the system. Dual polarization has been recognized as a potential method for improving certain meteorological measurements by radar and was among the adjunct features considered but deleted from initial requirements.

In the following report we re-examine the dual polarization in light of recent work in both meteorological measurements using this method and the engineering technology necessary to support it.

It should be noted that a key word in the assessment is the potential benefit. Dual polarization and the associated fundamental measurement, differential reflectivity, i.e., the ratio (in dB) of apparent radar cross

section at orthogonal polarizations, is not an operationally established technique with proven meteorological measurement capability. However, the work to date and primary results of ongoing research are encouraging and it is not

premature to consider the technique at this time especially since the measurement techniques are becoming well defined and the hardware performance well

established. The data base for evaluation is limited but most of the fundamental work necessary to validate the concepts behind the scheme has been

done. It is not anticipated that any significant changes in either physical interpretation or statistical properties will result from future studies.

The possible meteorological benefits to NEXRAD are assessed and the impact on the baseline system prime mission are examined. Possible compromise

in existing NEXRAD capability necessary to accommodate the dual polarization measurement outlined. A new approach requiring substantial engineering

development appears to be the only way dual polarization could be incorporated into the NEXRAD without serious compromise of the prime mission. This report is intended to serve as a source of information for NEXRAD program policy decision. An effort is made to provide the pertinent information but in most cases results are presented without rigorous derivation.

## 2. DUAL POLARIZATION METHOD

### 2.1. Theoretical information contained in the measurement

Doppler radar with single polarized transmission provides estimates of the first three spectral moments. These estimates contain information about the radar scatterers in terms of reflectivity factor, velocity and velocity variance in the radar sample volume. While these spectral moments provide a great deal of information they do not characterize a meteorological field completely. Certain parameters related to precipitation rate, such as median volume drop diameter, and thermodynamic phase state, cannot be determined accurately from reflectivity measurement alone.

Study of the polarization dependent scatter properties of hydrometeors has led to the use of dual polarization in radars to extract additional information about the scatterers. Though the reported measurements using dual polarization method are confined to differential reflectivity ( $Z_{DR}$ ) and linear depolarization ratio (LDR) in the case of linear polarization and circular depolarization ratio (CDR) in the case of circular polarization, the results have indicated the potential usefulness of these measurements for improving the accuracy of precipitation rate estimation. There have been efforts to identify the phase state of the precipitation using  $Z_{DR}$  data and although preliminary results are encouraging the database is still too small for determining the statistical significance of these results.

In this section we examine the polarization properties of hydrometeors in order to assess how much additional information we can derive by implementing polarization diversity in a Doppler radar. In practice there are two types of polarization measurements - linear polarization and circular polarization. When a complete scattering matrix is evaluated the information content of the two measurements is the same. In this case a set of measurements in linear polarization can be transformed into circular polarization measurements by matrix transformation and vice versa. However, it may not be practical to measure the complete scattering matrix, in which case the partial measurement of polarization properties of the scatterers in the resolution volume does not lead to the same results using linear or circular polarization. Each method has advantages and disadvantages in terms of practical implementation and the derivable information content.

### 2.1.1 Linear polarization

Consider the electromagnetic field scatter property of a single hydro-meteor such as a raindrop, snowflake, hailstone, etc. A monostatic radar such as NEXRAD measures only the backscattered signal, hence, it is sufficient to examine only the backscattered field. The two orthogonal components of the scattered electric field can be expressed in terms of the scattering matrix [S]

$$\begin{pmatrix} E_{V_s} \\ E_{H_s} \end{pmatrix} = \begin{pmatrix} S_{VV} & S_{VH} \\ S_{HV} & S_{HH} \end{pmatrix} \begin{pmatrix} E_{V_i} \\ E_{H_i} \end{pmatrix} \quad (2.1)$$

where  $E$  is the electric field, and the superscripts  $s$ ,  $t$  indicate the scattered and incident field components.  $S$  is the scattering coefficient and successive subscripts refer to signal polarizations (H-horizontal, V-vertical) for incident and backscatter. In general the elements of the scattering matrix are complex and are functions of the scatterer shape, size, orientation, and dielectric constant. For an incident field of horizontal polarization ( $E_V^i = 0$ ), the scattered field components  $E_H^s$ ,  $E_V^s$  are proportional to the two matrix elements  $S_{HH}$  and  $S_{VH}$ , while for excitation with a vertically polarized field ( $E_H^i = 0$ ) the scattered field components  $E_H^s$ ,  $E_V^s$  are proportional to the matrix elements  $S_{HV}$  and  $S_{VV}$ .

The radar resolution volume usually consists of a large number of scatterers often of mixed phases so the backscattered field measured by the radar is the vectorial sum of the backscattered field from each of the scatterers. The dimension of the volume is much larger than the wavelength. The scatterers are distributed over the entire volume, have different cross sections and are in motion relative to each other. Thus the phases of the individual scattered field components are random. This makes the received signal statistical, and a number of measurements have to be made in order to estimate the composite of the backscattered power. The information about the scatterer shape, orientation, phase state and size distribution is contained in the scattering matrix (of the resolution volume) and it is possible to extract some of this information using the relative amplitudes of the elements of the scattering matrix. The most useful information is the thermodynamic phase state and the drop size distribution, which are essential for accurate determination of precipitation rate and cloud condition.

To understand how the scattering matrix reflects the scattering properties, let us examine the field scattering matrix of a raindrop. It is well

established through numerous studies that a free-falling water drop assumes a shape which can be approximated by an oblate spheroid and at equilibrium



Note that the dipole moments  $p_h$  and  $p_v$  are functions of the drop geometry, particularly the eccentricity of the ellipsoid as well as the dielectric constant of the drop. Since the eccentricity is a known function of the drop

$$m = \sqrt{\epsilon} - \text{refractive index}$$

a/b - axis ratio of raindrop

$$e = [1 - (\frac{b}{a})^2]^{1/2} ; \text{eccentricity of the ellipsoid}$$

$$I = \frac{1}{2} [1 - (\frac{e}{2})^{1/2} \sin^{-1} e]$$

$$p_v = \frac{4\pi ab^2}{3} \epsilon_0 (m^2 - 1) \left[ \frac{I(m^2 - 1) + 1}{1} \right]$$

$$p_h = \frac{4\pi ab^2}{3} \epsilon_0 (m^2 - 1) \left[ \frac{I(m^2 - 1) + m^2 + 1}{2} \right]$$

where

$$\begin{aligned} E_s^v &= 0 & p_v \\ E_s^h &= p_h & 0 \\ E_t^v & & E_t^h \end{aligned}$$

(2.2)

(i.e., a drop falling at terminal velocity) the oblateness (or eccentricity) of the spheroid is a function of the drop size. For the ideal drop orientation relative to the incident electric fields as shown in figure 2.1a the backscattered field in terms of the horizontal and vertical dipole moments  $p_h$  and  $p_v$  is given by

Now the resolution volume consists of drops of various sizes with a size distribution  $N(D^e)$  and possibly with a canting angle (i.e., the angle between drop major axis and incident horizontal field) distribution about a mean  $\psi_0$ .  $N(D^e)$  represents the number density of drops as a function of equivoolumetric diameter. The scattering matrix measured by the radar (Eq. 2.1) represents

ratio is a measure of eccentricity of the drop. reflectivities for the horizontally and vertically polarized fields and the strongly dependent on  $\psi$ . The diagonal terms are proportional to the radar the drop elevation,  $\delta$ , and orientation,  $\psi$ , but the off-diagonal terms are more the constant. All four elements of the scattering matrix are functions of The dipole moments  $p_h$  and  $p_v$ , in the major and minor axis direction respec-

onto the x, y plane,  $\psi$  - angle measured from y axis to the projection of drop semi minor axis  $\delta$  = elevation angle of the drop = angle between  $\underline{n}$  and x, y plane

where

$$\begin{aligned} \frac{1}{2} (p_v - p_h) \cos^2 \delta \sin 2\psi &= (p_v - p_h) \cos^2 \psi \cos^2 \delta \sin 2\psi + p_h \\ \frac{1}{2} (p_v - p_h) \cos^2 \delta \sin 2\psi &= (p_v - p_h) \cos^2 \psi \cos^2 \delta \sin 2\psi + p_h \end{aligned} \tag{2.3}$$

can be expressed as (Stapor and Pratt, 1984)

For the general orientation shown in Figure 2.1b the scattering matrix provides an estimate of drop diameter. diameter for drops falling at terminal velocity, an estimate of eccentricity

the integrated effect of all the scatterers. Raindrops are known to have preferred orientation with their major axis along the horizontal and in most cases the mean canting angle  $\psi_0$  is nearly zero. A two-parameter drop size distribution could be determined using only the diagonal terms of the scattering matrix. The ratio of the diagonal terms, the differential reflectivity  $Z_{DR}$ , provides an unbiased estimate of the two parameters of the drop size distribution assuming the mean canting angle to be zero. If  $\psi_0 \neq 0$ , the value of  $Z_{DR}$  is reduced due to cross couplings, and measurement of the off-diagonal terms is necessary to gain information about this mean canting angle. Another important parameter that can be used to determine accurate drop size distribution (DSD) is the differential propagation phase shift. Because of the oblateness of the raindrops the effective propagation constant for the horizontally polarized electromagnetic field is different from that for the vertically polarized field. Since the transmitted pulse propagates through the meteorological field to the resolution volume and the scattered field propagates back along the same path, the path integrated propagation properties of the intervening medium, in terms of attenuation and phase shift, are contained in the apparent scattering matrix parameters measured by the radar. The differential phase shift can be extracted from the complex voltage samples measured using a dual polarized radar. Measurement of one of the differential parameters, differential reflectivity or differential phase shift constant, in conjunction with horizontal or vertical reflectivity, is sufficient to determine a two parameter DSD. The selection criteria as to which of the two differential parameters is more suitable for NEXRAD is the relative ease of measurement and obtainable accuracies.

One of the potential uses of dual polarization measurements may be in identification of hydrometeor type, i.e., rain, drizzle, cloud, fog, snow,

grapel, hall, etc. It may be possible to determine, by theoretical analysis, the approximate range of values of the scattering matrix elements for each of these scatterers. There have been some studies to identify different types of hydrometeors based on differential reflectivity and horizontal reflectivity alone (Bringl and Seliga, 1977; Seliga and Bringl, 1978; Hall et al., 1980). The results to date show that the range of values of  $Z_H$  and  $Z_{DR}$  often overlaps for different types of hydrometeors and identification may be ambiguous. However, an examination of the complete scattering matrix may provide additional information that can be used to identify the hydrometeor type.

## 2.1.2 Circular Polarization

The two orthogonal circular polarizations are the right hand circular (RHC) and left hand circular (LHC). If a circularly polarized wave is transmitted, the backscattered wave can be resolved into RHC and LHC components. For a RHC transmission the main component of the backscattered field is in a LHC wave and the cross coupled component is in a RHC wave. The orientation of the minor axis of the polarization ellipse can be estimated from the phase difference between the RHC and LHC waves. (Strictly true only for a monochromatic wave.)

The backscattered signal from precipitation is narrow band Gaussian. This means that the scattered signal contains more than one frequency but the departure from mean frequency is small. The departure from mean frequency is the result of Doppler spread caused by the relative movement of precipitation particles. As in linear polarized transmission, the circularly polarized signal can be expressed in terms of a scatter matrix [C]

where  $j = \sqrt{-1}$ , and  $[U]$  is the transformation matrix. Inverse transformation is done using the transpose of the matrix  $[U]$ . Because of the statistical nature of the backscattered signal, measurement from a single pulse will have too large an uncertainty in the scattering matrix to be useful for deriving the information about the scatterers. Using a large number of measurements we can estimate the expected values of the backscattering matrix elements for the resolution volume. Because the individual scattering matrices for circular and linear polarizations contain the same information, the estimated scattering matrices (2.2) of the resolution volume for circular and linear polarization will also contain the same information. But individual matrix terms and derived quantities from these terms are affected differently by the polarization properties of the scatterers. For example, the ratio  $(\frac{C_{RL}}{C_{RR}})^2$  known as the circular depolarization ratio (CDR), obtained using only RHC transmission, is independent of mean canting angle but is affected by the distribution of canting angles. The

$$\begin{bmatrix} E_S^R \\ E_S^L \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & j \\ 1 & -j \end{bmatrix} \begin{bmatrix} E_S^H \\ E_S^V \end{bmatrix} = [U] \begin{bmatrix} E_S^H \\ E_S^V \end{bmatrix} \quad (2.5)$$

where subscripts R and L represent RHC and LHC components. These components can be converted to linear polarized components  $E_H$  and  $E_V$  by matrix transformation,

$$\begin{bmatrix} E_S^R \\ E_S^L \end{bmatrix} = \begin{bmatrix} C_{RR} & C_{RL} \\ C_{LR} & C_{LL} \end{bmatrix} \begin{bmatrix} E_S^H \\ E_S^V \end{bmatrix} \quad (2.4)$$

Channel isolation of greater than 35 dB is beyond the state of the art for certain of the microwave components needed in these systems. In particular the circulators required can presently deliver a guaranteed isolation of about 20 dB with typical isolation of about 22 dB and matched system isolation of less than 25 dB. In the proposed radar system designs the switchable circulators are the limiting component. Orthomode couplers and scalar feeds can deliver an isolation greater than 40 dB. With the present hardware,

these off-diagonal terms accurately the channel isolation has to be much better than 35 dB. elements (Stapor and Pratt, 1984) depending on the rain rate. To measure are calculated to be 20 to 35 dB below the power level in the main diagonal of the cross coupled power in the off-diagonal elements of the scatter matrix channel receivers over the entire dynamic range. For example, the magnitude between the orthogonal channels and the phase and gain matching of the two Hardware aspects that affect the measurement accuracies are isolation mission.

scatter matrix elements and the usefulness of these measurements to the NEXRAD we need to assess the accuracies involved in the measurement of each of the cal nature of signals and the propagation effect present in the measurements, linear and circular polarized transmission. However, because of the statistical nature of the scatter matrix in Technology exists for the measurement of the complete scatter matrix in 2.2 Measurable Quantities From Established Techniques

missions are made alternately. corresponding parameter  $Z_{DR}$  from horizontally and vertically polarized transmissions is affected by mean canting angle. Differential propagation severely affects  $Z_{DR}$  while  $Z_{DR}$  is nearly unaffected if horizontal and vertical transmissions are made alternately.

where integration is over all drop sizes from zero to the maximum drop size,  $Z_{DR}$ . It may be noted that  $Z_{DR}$  is a function of only the diagonal terms of the scatter matrix, hence there is no need to estimate the cross-coupled components. Radar transmission is alternately switched between horizontal and vertical polarizations and only the co-polarized signal is received using a single receiver. This single receiver scheme eliminates the need for extremely good polarization discrimination in the orthomode coupler and dual receiver

ments. Radar transmission is alternately switched between horizontal and vertical polarizations and only the co-polarized signal is received using a single receiver. This single receiver scheme eliminates the need for extremely good polarization discrimination in the orthomode coupler and dual receiver

$$Z_{DR} = \frac{Z_H}{Z_V} = \frac{\int_0^{D_m} |S_{HH}|^2 N(D) dD}{\int_0^{D_m} |S_{VV}|^2 N(D) dD} \quad (2.6)$$

$Z_{DR}$  is defined as the ratio of horizontal reflectivity  $Z_H$  to vertical reflectivity  $Z_V$ , and is related to the scatter matrix [s] and drop size distribution (DSD)  $N(D)$  by

### 2.2.1 $Z_{DR}$ Measurement

of rainfall rate estimate. There are no reported measurements of the complete scattering matrix so far, though the technical feasibility of designing a polarization agile radar has been reported (Schroth et al., 1982, Metcalf et al., 1980). Most of the reported dual polarization measurements are confined to  $Z_{DR}$  and GDR. Of these two parameters,  $Z_{DR}$  has been used more successfully to increase the accuracy of rainfall rate estimate.

characterization of the radar system so that the bias error produced by channel cross-coupling in the radar can be compensated for by computation. There are no reported measurements of the complete scattering matrix so far, though the technical feasibility of designing a polarization agile radar has been reported (Schroth et al., 1982, Metcalf et al., 1980). Most of the reported dual polarization measurements are confined to  $Z_{DR}$  and GDR. Of these two parameters,  $Z_{DR}$  has been used more successfully to increase the accuracy of rainfall rate estimate.

$$CDR = \frac{\int_0^D |S_{HH} + S_{VV}|^2 N(D) dD}{\int_0^D |S_{HH} - S_{VV}|^2 N(D) dD} \quad (2.9)$$

2.2.2 CDR Measurement

In CDR measurement the transmission is in either RHC or LHC polarization and both the co-polar and cross-polar circular components are received simultaneously. Measurements of two received components simultaneously require two matched receivers, and because the cross-coupled component is several orders of magnitude smaller (typically <-15 dB), the channel isolation also has to be very good. In practice it has been found that CDR of less than -25 dB cannot be measured very reliably (Nathanson, 1969). CDR is estimated as the expected value of the ratio of co-polar to cross-polar power returns, expressed in dB's.

If all the scatterers are oriented in a common direction, CDR is related to the scattering matrix [S] elements and drop size distribution by the relation

$$Z_{DR} = \frac{\langle |E_H|^2 \rangle}{\langle |E_V|^2 \rangle} \quad ; \quad \text{square law estimator} \quad (2.7)$$

$$Z_{DR} = \langle 10 \log \left[ \frac{|E_V|^2}{|E_H|^2} \right] \rangle \quad ; \quad \text{log ratio estimator} \quad (2.8)$$

$Z_{DR}$  estimators are defined by Brngt, et al. (1983).  $Z_{DR}$  is computed from the received complex voltage samples  $E_H$  and  $E_V$ , usually by either the square law estimator or log ratio estimator. These



Measurement of  $Z_{DR}$  is simpler than CDR measurement. Its effectiveness in the estimation of precipitation rate depends on the alignment of scatterers in

stable. gate correction is mandatory and for a rate of 80 mm/h correction is impos- can be ignored if rainfall rate is less than 20 mm/h. For 40 mm/h a gate-by- predictions which imply that propagation effects through 10 km of uniform rain effect must be accounted for. McGuinness et al. (1984) present data and model state of the art isolation achievable (~25 dB) is marginal and the propagation nately measuring co-polar cross-polar components using the same receiver, the small. While the requirement of receiver matching can be overcome by alter-

which limit its usefulness to situations where the propagation effect is matching. More important, CDR is severely affected by propagation effects requirements on the radar system in terms of channel isolation and receiver well as orientation. However, CDR measurement imposes stringent performance of the scatterers regardless of their orientation, while  $Z_{DR}$  depends on shape as or more information than  $Z_{DR}$ , particularly since CDR depends on the mean shape Comparison of equations (2.6) and (2.9) suggests that CDR may provide the same

$$CDR = \left( \frac{1 - Z_{DR}^{1/2}}{1 + Z_{DR}^{1/2}} \right)^2 \quad (2.10)$$

transformation

elements and DSD. For a monodisperse DSD,  $Z_{DR}$  and CDR are related by the Ideally,  $Z_{DR}$  and CDR are related to each other via the scatter matrix-

### 2.2.3 Comparison of $Z_{DR}$ and CDR Measurements

function of drop shape only.

It can be shown that the CDR is independent of mean canting angle and is a

horizontal and vertical directions, which is usually good in rain. However, the important advantage of  $Z_{DR}$  measurement is that it is not seriously affected by propagation.

If in addition to  $Z_{DR}$  one of the cross coupled components is also measured (which increases the complexity of the radar system), a canting angle can be estimated. Unfortunately this measured canting angle would not be the true mean canting angle of the hydrometeors in the resolution volume, but would be biased by the canting angle of the drops along the propagation path.

2.2.4 Differential Propagation Phase Shift Constant,  $\Delta\phi$ , Measurement

Differential phase shift constant  $\Delta\phi$  is also a differential measure dependent on hydrometeor shape and orientation and  $\Delta\phi$  in combination with  $Z_H$  can be used to calculate the two unknown parameters of DSD. It may be noted that the measurement of  $\Delta\phi$  does not involve additional microwave hardware. It can be estimated from the same time series used for  $Z_{DR}$  estimation. Unlike  $Z_{DR}$ ,  $\Delta\phi$  is a parameter of the forward scatter matrix. It may prove to be useful in hydrometeor identification if both  $Z_{DR}$  and  $\Delta\phi$  are computed, since the behavior of these two parameters in relation to  $Z_H$  may vary significantly for a given hydrometeor type. A combination of  $Z_H$ ,  $Z_{DR}$  and  $\Delta\phi$  may prove more useful than  $Z_H$  and  $Z_{DR}$ . An important use could be for hail discrimination in severe thunderstorms. It is well known that hailstones coated with water have backscatter cross sections similar to water drops of the same size but the differential propagation phase shift characteristics may be significantly different for water-coated hail and water drop of the same size.

While differential phase shift has been studied by communication engineers extensively in order to assess the depolarizing effect on communication channels (Morrison et al., 1973 and 1973b; Oguchi and Hosoya, 1974; Fang and

2.3.1 Rainfall Rate Measurement

Doppler radar with single polarized transmission measures the scattered power from the meteorological targets and estimates the equivalent reflectivity,  $Z$ , of the resolution volume. The reflectivity is related to the total water content of the resolution volume but accurate determination of water

measurements.

In the following sections we discuss how the  $Z_{DR}$  information can improve these

Identification of hydrometeor type most notably discrimination between hail, snow, rain, supercooled droplets, etc.

Location of the melting layer.

Rainfall rate both at a point and over an area.

2.3. Possible Enhancement of NEXRAD Products

For meteorological radars the polarization properties of the scatterers provide information about the geometry and thermodynamic phase state of the scattering medium. This information, not available in measurements of  $Z$  and spectral moments, provides estimates of scattering parameters which normally are assumed. If this new information is sufficiently accurate the radar could provide better measurements of such weather features as:

studies.

investigated in order to evaluate the usefulness of  $\Delta\phi$  in meteorological accuracy to which  $\Delta\phi$  can be estimated and the statistics of  $\Delta\phi$  have to be parameters in one of their early papers. Measurement aspects such as the (1978) address the possibility of using  $\Delta\phi$  for the determination of DSD radar, nor has it been used in determining rain rate. Seliga and Bringi Chen, 1982), there are no reported measurements of  $\Delta\phi$  using meteorological

content and rain rate, R, from radar reflectivity requires knowledge of drop size distribution. The conventional method has been to use an empirical Z-R relationship such as  $Z = aR^b$ , where a and b are empirical constants (Batian, 1973). Several authors have determined these constants from the DSD measured with disdrometers. Through numerous measurements reported in the literature it has been established that a large variability in the DSD exists, and naturally occurring drop size distributions having the same Z have rainfall rates which differ by as much as a factor of 4 (Doviak & Zrnic, 1984).

Most notable among the proposed drop size distributions and probably the most widely used, is the two-parameter exponential distribution (Marshall and Palmer, 1948). Based on their measurements and those of Laws and Parsons (1943) Marshall and Palmer proposed that,

$$N(D) = N_0 e^{-\lambda D} \quad (2.11)$$

where  $N_0 = 8 \times 10^3 \text{ m}^{-3} \text{ mm}^{-1}$ ,  $\lambda = 4.1 R^{-0.21} \text{ (mm}^{-1}\text{)}$  where D is the drop diameter and R is the rain rate in mm/hr. Measurements of Joss et al. (1968) show that the two parameters  $N_0$  and  $\lambda$  vary considerably for different types of rain such as drizzle, widespread showers, thunderstorms, etc. Furthermore, Pasqualucci (1984) observed that in a convective storm the parameters vary considerably from the edge of the storm to main shaft depending on the vertical wind velocity. These variations in DSD result in errors in the empirically determined rainfall rates and direct measurements to account for these variations in DSD are not practical.

Assuming the form of the DSD to be M-P distribution as given in (2.11) the parameters  $N_0$  and  $\lambda$  can be determined by  $Z_{DR}$  and  $Z_H$  measurement (Selig & Bringi, 1976), or CDR and reflectivity at circular polarization (McGuinness

## 2.3.2 Location of the Melting Layer

et al., 1984). Because of its superior immunity to propagation effects we consider only the  $Z_{DR}$ ,  $Z_H$  technique. Studies by Seliga et al. (1980, 1981), in which the rain rates derived from differential reflectivity measurements were compared to the measured rain rates using rain gauge data and disdrometer data, show that improvement in dispersion of rain rate measurement is possible with  $Z_{DR}$  as compared to the empirical Z-R method. Seliga et al. (1981) report good agreement between  $Z_{DR}$  derived rain rate and the rain rate measured by rain gauges. Furthermore, Cherry et al. (1984) have refined the DSD hypothesis by showing that the assumption of an exponential drop size distribution leads to a consistent over-estimate of rainfall rate. They demonstrated that a gamma distribution removes virtually all systematic bias in rainfall rate estimation and also reduces the dispersion from 34% using empirical Z-R to 14% for the  $Z_{DR}$ .

Thus the additional information in the  $Z_{DR}$  could improve the rain rate estimates by radar. However, to achieve good accuracy in the rainfall rate measurement  $Z_{DR}$  must be estimated to within a fraction of a dB because it is the parameter which determines which drop sizes contribute most to the rain rate. Examinations of the relationship between  $Z$ ,  $Z_{DR}$ , and derived rate (Figure 2.2) shows that the sensitivity of rain rate measurement to variation in the  $Z_{DR}$  estimate increases at smaller  $Z_{DR}$  values. With a fixed reflectivity of 45 dBZ and a  $Z_{DR}$  between 1.9 and 2.1 dB the rain rate would vary from 23 to 27 mm/h which is about 8% of the mean.

In more realistic terms, for  $Z_H$  of 45 dBZ and an error of  $\pm 0.5$  dB then a  $Z_{DR}$  error of  $\pm 0.1$  dB will result in a rainfall rate error of 20%. These rather stringent accuracy requirements imply long dwell times for estimation.

It has been observed that  $Z$ ,  $Z_{DR}$  and  $CDR$  have relative peak values below the zero degree isotherm. Generally the order of peaks in the vertical profiles is as follows: highest is the reflectivity peak, about 100 m below the peak of  $CDR$  and 100 m below the  $CDR$  peak is the peak of  $Z_{DR}$ . The  $Z_{DR}$  peak is narrowest and hence delineates very precisely a height where hydrometeors have 90% melted (Montinger et al., 1984). This information together with the distance between reflectivity peak and  $Z_{DR}$  peak may provide information about the thermodynamic characteristics of the melting layer and its thickness.

2.3.3 Identification of hydrometeor type

Measurements of reflectivity depolarization and differential reflectivity can be used to infer the type of hydrometeors responsible for the scattering. Table 1 from Hall et al. (1980) shows qualitative relationships between  $Z$  and  $Z_{DR}$  for various hydrometeors. Quantitative relationships have not been well established yet. Low, medium, and high  $Z$  correspond roughly to 30, 40, and 50 dBZ.  $Z_{DR}$  measurements in rain typically vary between 0.5 and 4 dB whereas in hail  $Z_{DR}$  is near 0 or negative (Bringi, Seliga, and Aydin, 1984). The location of hail signature ( $Z > 55$  dBZ and  $Z_{DR} < 0.2$  dB) and the profile of  $Z$ ,  $Z_{DR}$  below it could indicate the potential for hail damage on the surface. This new technique is just now being investigated by several research groups. Another practical use of  $Z-Z_{DR}$  patterns may be in locating large super-cooled raindrops which may be hazardous to aviation.

### 3. METHODS FOR FOR DUAL POLARIZATION MEASUREMENTS

Dual polarization capability has been implemented both in linear and cir-

cular polarized radar systems. The most commonly measured parameter using dual polarization radar is either  $Z_{DR}$  or  $CDR$ . The  $Z_{DR}$  measurement is more useful for rain rate measurement and has more potential benefit for NEXRAD.

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TABLE 1. Expected characteristics of Z and Z<sub>DR</sub> at 10-cm wavelength for various hydrometeor types (From Hall et al., 1980).

Hydrometeor Type	Z	Z <sub>DR</sub>	Comments
Rain	High	High	Includes large oblate drops
Drizzle, cloud, fog, or super cool drops	Low	Low	Small spherical drops of water and/or small ice particles
Dry snow flakes	Medium-low	Medium-low	Large horizontally oriented low-density aggregates
Sleet/wet snow	High	High	Large oblate horizontally oriented particles
Wet graupel	High	Negative	Large conical vertically oriented particles
Wet hail	High	Low	Large particles: seldom spheres
Dry hail or other high-density ice particles	Medium	Low	

Relative Scale	Z	Z <sub>DR</sub>
low	30 DBZ	0.5 dB
medium	40 DBZ	2 dB
high	50 DBZ	4 dB



3.1 Statistical Considerations

Data acquisition time is a prime consideration in the NEXRAD system. To meet the overall mission the system is required to have a volume throughput time less than five minutes where the volume consists of a full 360° scan at about 15-20 elevation angles. This implies an antenna scan rate of 3 to 4 rpm and a dwell time of 55 to 42 milliseconds per 1° azimuthal increment. For a scan rate of 3 rpm, a PRT of 1 ms, and an antenna BW of 1°, the number of samples available per beam width is about 48.

All the measurements of  $Z_{DR}$  available in the literature have been made using the alternate transmission of horizontal and vertical polarized fields as described in Section 3.2, and practical experience is that the number of samples required to accurately estimate  $Z_{DR}$  is very large (256 pulses with log received NCAR radar) for such a scheme. To decide whether the existing proven  $Z_{DR}$  measurement scheme can be adapted to the NEXRAD system and provide useful information, we need to consider the accuracy with which  $Z_{DR}$  can be measured with the limited number of contiguous  $E_H$ ,  $E_V$  sample pairs available and the sensitivity of the computed rain rate to errors in  $Z_{DR}$  and  $Z_H$  or  $Z_V$ .

### 3.1.1 $Z_{DR}$ Accuracy Requirement

Significant potential benefit of  $Z_{DR}$  to NEXRAD would be to improve rain rate measurements. Single polarized radar measurements of rain rate using empirical Z-R relationships provide a good estimate of the mean but a wide variation between storms. For example, Brandes and Sirman (1976) report a mean gauge to radar ratio of 1.05 for 14 storms with a dispersion of 30%.

Seliga et al. (1980) report a gauge to radar ratio of 0.62 with dispersion of 19%. These variations are due to the microphysical and kinematic processes that affect the drop size distribution and drop-fall speeds.

Calibration of radar measurements using rain gauges with a density of 1000-2000 km<sup>2</sup>/gauge can reduce the average error to 30% (Wilson & Brandes, 1979). In one of their comparative radar and rain gauge measurement studies Seliga et al. (1980) use the data from a network of rain gauges and simultaneous radar measurement of rain rate with the Z<sub>DR</sub> technique. Estimated standard error in Z<sub>DR</sub> was 0.28 dB. Data from 317 rain gauges spread over an area of 5000 mi<sup>2</sup> were used to compare the Z<sub>DR</sub> derived rain rate to the rain rate measured by the gauges. Their results show that the Z<sub>DR</sub> derived rate dispersion is comparable or smaller than rain rate dispersion obtained from the Z-R relationship calibrated by rain gauges. However, rain gauge measurements themselves are subject to inaccuracies. In addition to the sampling error, wind conditions can cause an under-catch estimated to be as much as 20-40% (Wilson & Brandes, 1979) in the 10-35 m.s<sup>-1</sup> outflow region of thunderstorms. To achieve 5% standard error, a network density of 20 km<sup>2</sup>/gauge with 1 hr averaging time is necessary (Weisner, 1970), a highly impractical configuration for the NEXRAD radar network.

Rain rate is a very sensitive function of Z<sub>H</sub> and Z<sub>DR</sub> requiring highly accurate estimates of these parameters for accurate rainfall estimates. An error analysis by Goldhirsh (1984) shows that the rms deviation in the rain rate is as much as 100% for an error of -0.2 dB in Z<sub>DR</sub> and calibration error of 2 dB in Z<sub>H</sub>. The rms deviation in rain rate is approximately 20% for an assumed zero error in Z<sub>H</sub> and an error of 0.2 dB in Z<sub>DR</sub>. To estimate rain rate with less than 20% error, Z<sub>DR</sub> has to be estimated with an accuracy of at least 0.1 dB, assuming Z<sub>H</sub> is accurate to within 0.5 dB.

The theoretical curves presented in Figure 2.2, which give the relationship between Z<sub>DR</sub> and rainfall rate with N<sub>0</sub> and Z<sub>H</sub> as parameters, illustrate the sensitivity of rainfall rate to errors in Z<sub>H</sub> and Z<sub>DR</sub>. At a

given  $Z_H$  an error of 0.2 dB in  $Z_{DR}$  results in about 40% error in rainfall rate, where as at a given  $Z_{DR}$  an error of 1 dB in  $Z_H$  translates to approximately 40% to 50% error in rainfall rate. With a 1 dB error in  $Z_H$  and a 0.2 dB error in  $Z_{DR}$ , the estimated rainfall rate will have a larger than 50% error.

In addition to random zero mean errors the  $Z_H$  and  $Z_{DR}$  estimates are subject to systematic bias errors. Whereas variance of  $Z_{DR}$  and  $Z_H$  can be reduced by averaging samples, bias errors can be removed only if a priori knowledge of the signal handling process is available. Some factors that introduce bias errors in  $Z_H$  and  $Z_{DR}$  are receiver calibration, depolarization due to differential propagation phase shift, attenuation in the propagation path, and mismatch between the antenna patterns for horizontally and vertically polarized transmissions.

Because  $Z_{DR}$  is a differential measure and usually the same receiver is used for both horizontally and vertically polarized signals, the receiver calibration does not affect the  $Z_{DR}$ . However, it can introduce bias error in  $Z_H$  and thus the calibration error should be considerably better than 1 dB. Depolarization and attenuation due to propagation through the rain medium affect both  $Z_{DR}$  and  $Z_H$  estimates and produce systematic bias errors. Antenna pattern mismatch introduces a bias in  $Z_{DR}$  which is difficult to remove. It is essential that the main beams be matched to avoid any serious bias error in  $Z_{DR}$ . Beam pattern matching in the sidelobe region is in general very difficult and sidelobe mismatch can cause false  $Z_{DR}$  values especially when the main beam illuminates weak scatterers near large reflectivity gradients such as at the edge of storms (Herzogh and Carbone, 1984). For typical weather radar antennas  $Z_{DR}$  will not be affected by sidelobes if the total power received by the main lobe is at least 15 dB larger than the power through sidelobes.

The standard deviation of  $Z_{DR}$  is a function of the correlation coefficient between  $E_H$  and  $E_V$  signals and the decorrelation time of the signal. From a study of time series records with pulse repetition time of 1.6 ms Bringi et al. (1983) report a median value of the average pulse-to-pulse cross correlation coefficient of 0.965 and the standard deviation of  $Z_{DR}$  from 40 pairs of independent samples, between 0.1 to 0.25 dB while the standard deviation of  $Z_H$  and  $Z_V$  is between 0.7 to 1.5 dB. The observed decorrelation time of  $E_H$  and  $E_V$  signal varies between 6 and 45 ms so that an integration time of

$$Z_{DR} = \frac{\sum_{i=1}^M |E_V|_{i/2}^2}{\sum_{i=1}^M |E_H|_{i/2}^2} = \frac{P_V}{P_H} \quad (3.1)$$

3.1.2 Estimation of  $Z_{DR}$  by Alternate Sampling of  $E_H$  and  $E_V$

The only established  $Z_{DR}$  measurement technique uses a polarization switch to alternately sample the vertically and horizontally polarized signals  $E_V$  and  $E_H$ .  $Z_{DR}$  is estimated from these samples using one of the three estimators, square law, log ratio, or ratio estimator. A recent paper by Bringi et al., (1983) discusses the statistical properties of the  $Z_{DR}$  signal and compares the three estimators. Their analysis assumes classical Gaussian statistics based on a "random walk" model to derive standard deviation  $\sigma_{DR}$  of  $Z_{DR}$  and concludes that for a given dwell time the standard deviation of the  $Z_{DR}$  estimate is lowest for the square law estimator. Since minimum dwell time is of prime importance in the NEXRAD application this is the only estimator we will examine here. The square law estimator of  $Z_{DR}$  is defined as (Bringi et al., 1983)

Using (3.4) and (3.2) we can derive an inequality

$$\text{var}(Z_H) = Z_H^2 \frac{\sum_{m=-(M-1)}^{M-1} (M-|m|) |\rho(2m)|^2}{(M-1) \frac{M}{2}} \quad (3.4)$$

A similar analysis for variance of the  $Z_H$  estimate gives

$$\sigma_{DR}^2 (\text{db}) = 10 \log \left( \frac{Z_{DR}}{Z_{DR} + \sigma_{DR}} \right) \text{db} \quad (3.3)$$

$\sigma_{DR}$  in dB's is

Normalizing (3.2) with respect to  $(Z_{DR})^2$ , fractional standard deviation, relation coefficient between  $E_H$  and  $E_V$  samples for zero lag. coefficient between  $E_H$  (or  $E_V$ ) samples for  $m$  pulse lag and  $\rho_{HV}(0)$  is the correlation where  $M$  is the number of contiguous  $E_H$  or  $E_V$  samples,  $\rho(m)$  is the correlation

$$\text{var}(Z_{DR}) \approx \frac{2 Z_{DR}^2}{M} \frac{\sum_{m=-(M-1)}^{M-1} (M-|m|) [|\rho(2m)|^2 - |\rho(2m+1)|^2] |\rho_{HV}(0)|^2}{(M-1)} \quad (3.2)$$

variance of  $Z_{DR}$  can be approximated by

tions can be used to predict the behavior of the  $Z_{DR}$  measurement. The effect of correlation between contiguous samples in the calculation of spectral moments of weather signals. These results, with some simplifying assumptions can be used to predict the behavior of the  $Z_{DR}$  measurement. The correlation that exists between the samples. Zrnic' (1979) examined the effect of correlation between contiguous samples and one cannot ignore the averaging is done with contiguous pairs of samples and  $E_H$  and  $E_V$  are independent. However, in practice, that the sample pairs  $E_H$  and  $E_V$  are independent. However, in practice, the Brngt et al. (1983), in their analysis of  $Z_{DR}$  signal statistics assume the  $Z_{DR}$  estimate (Brngt et al., 1978). approximately 0.5 seconds is necessary to obtain 0.2 db standard deviation in

The relations between  $M$  and  $\sigma_{DR}$  plotted in Figures 3.1 to 3.4 show that  $\sigma_{DR}$  decreases with increasing spectrum width for a given  $M$ , but has a lower limit at  $WT_S \approx 0.078$  corresponding to  $\sigma_v = 5 \text{ m s}^{-1}$  ( $T_S = 1 \text{ ms}$ ). Even when the orthogonal signal correlation  $|\rho_{HV}(0)| = 1.0$  (ideal case-see Figure 3.1) the value of  $\sigma_{DR}$  is 0.12 dB at  $M=25$  (M compatible with NEXRAD specification) for  $\sigma_v = 4 \text{ m s}^{-1}$ . For larger spectrum widths  $\sigma_{DR}$  is larger with  $Z_{DR}$  becoming unusable at  $\sigma_v = 6 \text{ m s}^{-1}$ . It is shown in the Appendix that  $|\rho_{HV}(0)|^2$  is generally larger than 0.995. Thus the values of  $\sigma_{DR}$  given in Figure 3.2 should represent practical values. This figure gives a value  $\sigma_{DR} = 0.17 \text{ dB}$  for  $M = 25$  and  $\sigma_v = 4 \text{ m s}^{-1}$ . However, stronger turbulence ( $\sigma_v > 4 \text{ m s}^{-1}$ ) is likely to induce oscillations of drops so that the pair correlation  $\rho_{HV}(0)$

is about  $4 \text{ m s}^{-1}$ . The relations between  $M$  and  $\sigma_{DR}$  plotted in Figures 3.1 to 3.4 show that  $\sigma_{DR}$  decreases with increasing spectrum width for a given  $M$ , but has a lower limit at  $WT_S \approx 0.078$  corresponding to  $\sigma_v = 5 \text{ m s}^{-1}$  ( $T_S = 1 \text{ ms}$ ). Even when the orthogonal signal correlation  $|\rho_{HV}(0)| = 1.0$  (ideal case-see Figure 3.1) the value of  $\sigma_{DR}$  is 0.12 dB at  $M=25$  (M compatible with NEXRAD specification) for  $\sigma_v = 4 \text{ m s}^{-1}$ . For larger spectrum widths  $\sigma_{DR}$  is larger with  $Z_{DR}$  becoming unusable at  $\sigma_v = 6 \text{ m s}^{-1}$ . It is shown in the Appendix that  $|\rho_{HV}(0)|^2$  is generally larger than 0.995. Thus the values of  $\sigma_{DR}$  given in Figure 3.2 should represent practical values. This figure gives a value  $\sigma_{DR} = 0.17 \text{ dB}$  for  $M = 25$  and  $\sigma_v = 4 \text{ m s}^{-1}$ . However, stronger turbulence ( $\sigma_v > 4 \text{ m s}^{-1}$ ) is likely to induce oscillations of drops so that the pair correlation  $\rho_{HV}(0)$

$$|\rho(m)|^2 = e^{-4\pi^2 W^2 T_S^2 m^2} \quad (3.6)$$

transform pair. For a Gaussian spectral density  $\rho(m)$  can be expressed as  $|\rho(m)|^2 = e^{-4\pi^2 W^2 T_S^2 m^2}$ . The signal correlation function and spectral density constitute a Fourier of reflectivity below 0.5 dB is sufficient to keep also  $\sigma_{DR}$  (db) below 0.1. From (3.3), (3.4) and (3.5) it follows that for a realistic value of  $|\rho_{HV}(0)|^2 = 0.995$  the number of samples needed to keep the standard error of reflectivity below 0.5 dB is sufficient to keep also  $\sigma_{DR}$  (db) below 0.1.

$$\text{var}(Z_{DR}^2) > 2(1 - |\rho_{HV}(0)|^2) \frac{\text{var}(Z_H^2)}{Z_H^2} \quad (3.5)$$

In the NEXRAD some range averaging would perhaps be acceptable provided the Z and Z<sub>DR</sub> scales of interest are greater than the 3 dB cutoff scale of the averaging process. The cutoff scale is 2.2 dB, where dB is the averaging length (Stirmans and Dovjak, 1973). Some averaging of reflectivity is allowed to provide a range resolution compatible with the angular resolution of the 1° BW antenna. The radar sample volume width and height is approximately 1 km at a range of 60 km. Assuming a pulse width  $\tau_s = 1.6 \mu s$ , we obtain 6.4 independent samples by range averaging over 1 km. This range averaging over 1 km reduces the decibel standard error of Z<sub>DR</sub> by a factor of 2.5. In dB's this corresponds to a standard deviation reduction from 0.17 dB to 0.07 dB at a  $\sigma_v$  of 4 m s<sup>-1</sup> and from 0.24 dB to 0.1 dB at a  $\sigma_v$  of 1 m s<sup>-1</sup> which satisfy the 0.1 dB criterion for  $\sigma_{DR}^Z$ . To reduce  $\sigma_v^Z$  to 0.5 dB the range averaging interval for Z would have to be about 3.5 km.

$$M_e = 3m/2 + 3/8 \quad (3.7)$$

Spatial averaging is a means of reducing the reflectivity and differential reflectivity estimate variance. A continuous integration in range (Wallace, 1953) or averaging of discrete samples spaced close enough to approximate a continuous integration provides a variance reduction factor  $M_e$  (number of statistically independent samples); related to the number of pulse depths, m, that are averaged by

would decrease which in turn would tend to increase  $\sigma_{DR}^Z$ . Thus, in order to maintain the required data throughput rate some additional variance reduction method must be used.

3.1.3 Bias Error in  $Z_H$  and  $Z_{DR}$  Due to Propagation Through Rain

Raindrops are spheroidal in shape and tend to orient themselves with the small axis along the vertical. Thus the propagation constant is different for horizontally and vertically polarized waves. This difference in propagation causes depolarization and couples power into the orthogonally polarized field. It is shown in the Appendix that cross coupling is a function of both the two-way differential propagation phase shift,  $\phi$ , and mean canting angle,  $\theta$ . The cross coupling affects the measured  $E_H$  and  $E_V$  signals differently, in an alternate sampling scheme, resulting in bias errors in  $Z_H$  and  $Z_{DR}$  estimates. The bias errors,  $\delta Z_H$ , and  $\delta Z_{DR}$  due to propagation are given in Figures 3.5 and 3.6. These values are calculated at  $Z_{DR} = 3$  dB. Figure 3.5 shows that  $Z_H$  is underestimated if  $\theta > 0^\circ$  and the error can be as much as  $-0.5$  dB for  $\phi = 180^\circ$  and  $\theta = 8^\circ$ . However, we do not expect the mean canting angle to be greater than  $5^\circ$  nor the differential phase shift greater than  $180^\circ$  even in severe storms. At  $3$  GHz a  $50 \text{ mmh}^{-1}$  rain over a propagation path length of  $40$  km is needed to produce a two-way differential phase shift of  $180^\circ$  (see Figure A.18). Under these conditions the bias errors in  $Z_H$  and  $Z_{DR}$  are within  $0.2$  dB and  $0.1$  dB respectively.

### 3.2 Acquisition Waveforms

If CDR is the parameter of interest it can be obtained from any acquisition scheme since each echo provides the LH and RH polarization powers simultaneously. Thus, Doppler spectral moment measurement and CDR measurement are waveform compatible although the acquisition times needed for accurate estimates will probably be quite different for the two parameters.

However, Doppler spectral moment and  $Z_{DR}$  are not waveform compatible. Mean velocity and width estimates made from alternate transmission of



fixed polarization which results in an increase in the estimate variance of for spectral moment estimation is reduced to one-half of available from a and use of multilag storage as implied in Figure 3.8b. The number of pairs extended to two range intervals by knowing the range distribution of echoes time in equal number of pairs for  $\hat{V}$  and  $\hat{Z}_{DR}$  estimations. Estimates are The scheme shown in Figure 3.8a splits the samples available in the dwell distribution of the propagation path to this shift.

the autocovariance. It is very difficult to account for the cumulative con- moment estimates would be large because the cosine term in (3.8) attenuates  $+90^\circ$  interval. Furthermore, when  $\phi$  is near  $90^\circ$  the errors in Doppler spectral ambiguity in the phase of the autocovariance whenever  $\phi$  is outside a  $-90^\circ$  to is the Doppler shift, and  $T$  is the sampling interval. The term  $\cos \phi$  causes an where  $\phi$  is the total differential phase shift between the two components,  $w_D$

$$\bar{R}(T) = |\bar{R}(T)| e^{j w_D T} \cdot \cos \phi \quad (3.8)$$

Even worse the mean autocovariance from such a scheme would be ratio results in an increase of standard errors in spectral moment estimates. magnitude of the change ( $Z_{DR}$ ). This decrease in equivalent signal to noise mean Doppler shift). The signal to noise degradation depends on the relative frequency domain as an increase in a colored noise power (i.e. sidebands around change in signal strength (from pulse to pulse) which is reflected in the fre- In its simplest form the amplitude modulation can be viewed as a periodic estimate would be systematically biased.

different polarizations. In addition to an increased variance the width error due to the modulation produced by unequal amplitudes of echoes with polarization (HVHVHV) as shown in Figure 3.7 would have an increased standard

about a factor of  $\sqrt{2}$ . The increase in variance of  $\hat{V}$  and  $\hat{W}$  would be greater than  $\sqrt{2}$  at low signal to noise ratios ( $>10$  dB) since there is not a common sample in the formation of the pairs. The 24 alternate sample pairs for  $Z_{DR}$  estimation result in an estimate standard deviation of about 0.18 to 0.26 dB which could be perhaps reduced to about 0.1 dB with a range integration of 1 km. Determining the true range distribution of the echoes will have a significant impact on system throughput rate. If a batch waveform is used, the number of samples available for velocity and  $Z_{DR}$  measurement is reduced by about 2 and resulting estimate standard deviation is increased by about 20%. In summary, compared to the present NEXRAD methods this scheme in conjunction with an unambiguous range distribution waveform would provide spectral moment estimate over two ambiguous range intervals with modification of data handling, but the mean velocity and width estimate standard deviation would be greater than  $1.2 \text{ m s}^{-1}$  instead of  $1 \text{ m s}^{-1}$ . In addition, even with a range averaging of 1 km the standard deviation of the differential reflectivity would be greater than the 0.1 dB required for improving the rainfall rate estimate over the empirical Z-R technique.

Other schemes can be devised which improve some aspect of the estimation at the expense of other aspects. For example, the scheme shown in Figure 3.8c would improve the estimate of  $Z_{DR}$  at the expense of the spectral moments by providing 36 sample pairs for  $Z_{DR}$  estimation and 12 sample pairs for spectral moment estimation with a 48 sample dwell. The estimate standard deviations would be about  $1.4 \text{ m s}^{-1}$  for velocity and width and 0.1 dB for  $Z_{DR}$  with range averaging of 1 km. Note that no allowance is made for an interleaved low PRF for unambiguous measurement of range.

3.3 Acquisition Time

The volume acquisition time of the NEXRAD is dictated by the meteorology. The five to six minutes allowed for acquisition of the data is about the maximum time interval which will meet the forecasting and monitoring mission of the system. Base data accuracies are constrained by this acquisition time, the radar system characteristics and data spatial resolution. The system is presently specified at close to its maximum capability, i.e., minimum variance estimation schemes are used; the standard deviation of the estimates are about as large as tolerable for reliable product generation; close to maximum spatial averaging is done and the system provides the data at the required throughput but with little or no excess time. Incorporation of the requirement for a signal measurement incompatible with the base data measurement will obviously involve a significant change in the system acquisition scheme and its ability to address the prescribed mission.

There are two general ways to approach this problem. One is to add the hardware necessary to make the new measurement and modify the operational methods so as to accommodate both types of measurements which will involve compromising some aspect of the existing system capability. Another is to impose the new requirement of ZDR estimation in addition to all existing requirements. This would require a redesign of the RDA.

### 3.3.1 Modified Operational Scheme

Two alternatives in operational schemes and the resulting impact on the system capability which may be considered are:

- Reduction in antenna rotation rate by a factor of two and increased averaging in range to 3 km.

The increased number of samples provided by this would allow the existing accuracy in spectral moment estimates to be maintained and provide the Z<sub>DR</sub> measurement with the accuracy required. However, the throughput time would be 10 minutes (about twice that of the present NEXRAD Technical Requirement), (NTR), probably too large for adequate severe weather forecasting and certainly too large for use at aviation terminals. A range integration increment of 3 km results in a cut off scale of about 6 km. This may limit the utility of the Z<sub>DR</sub> measurement in features like the gust front, hail shaft, mesocyclone, etc., which have dimensions of this order.

● Measurement of Z<sub>DR</sub> only during one scan at a slow rotation rate ( $4^\circ \text{ s}^{-1}$ ) and low elevation angle.

The greater number of samples provided by the slow rotation rate in conjunction with some range averaging allows measurement of Z<sub>DR</sub> with the required accuracy. However, the 1/2 minutes required for the scan adds directly to the overall throughput time. More serious is the question of usefulness of a Z<sub>DR</sub> measurement at only one elevation angle. Probably the only utility would be for rainfall measurement and locating hydrometeor type near ground.

### 3.3.2 Engineering Redesign

Absorption of the Z<sub>DR</sub> measurement in addition to the existing spectral moment measurement will require a more efficient technique for measuring both Z<sub>DR</sub> and spectral moments. Theoretically the simultaneous measurement of horizontally and vertically polarized return power has the potential for increasing the throughput rate of the Z<sub>DR</sub> measurement. However, the technique

The microwave hardware unique to a dual linear polarization system is the antenna primary feed and microwave switching arrangement for delivering the two different polarizations. Unique signal processing consists of a multiplexer for the received signal and the ZDR or CDR calculator. If full control over the switching is exercised such as with the latching ferrite circulators any desired polarization sequence can be implemented.

#### 3.4. Microwave hardware

about 3 km is acceptable.

averaging of ZDR over about 1 km in range and  $Z_H$  over a range interval of the spectral moments with the required accuracy and throughput rate if system sampling can deliver an estimate of both differential reflectivity and tions (Section 3.4.3) indicate that with careful design the simultaneous These and other questions are examined in the Appendix. Preliminary calculations processing such as orthogonal signal discrimination and receiver matching. phase shift as well as the hardware problems associated with dual signal the propagation of the two polarizations such as attenuation and differential measurement, methods must be derived to handle the problems associated with throughput time for a prescribed accuracy. However, to deliver a suitable time required to acquire a given number of samples which shortens the data vertically polarized return powers has the obvious advantage of decreasing the tically polarized signals. Simultaneous measurement of the horizontally and ver- sists of simultaneous transmission and reception of both horizontally and ver- technique is examined in detail in the Appendix. Basically, the scheme con- and, if implemented on NEXRAD, would require a redesign of the RDA. This is unproven and untested and will require substantial engineering development,

A simplified block diagram (Figure 3.9) shows the components that would need to be added on a NEXRAD. Feed horn must be circular (or a scalar type) so that either field can be radiated. An orthogonal mode coupler would be inserted behind the feed to accept either vertical or horizontal fields. An additional waveguide would be installed from the orthomode coupler to the switch. The switch most likely would be situated behind the reflector along with associated power supplies. The signals to control the switch would be brought above the antenna rotation planes through slip rings. Signal processing for ZDR estimation is done after the common receiver. The multiplexer behind the receiver is controlled by the transmitted sequence so that echoes from different polarizations are appropriately processed to obtain power estimates for horizontal and vertical polarizations. To reduce statistical uncertainty the samples are averaged in both time and range (see Sec. 3.1.2). The ZDR estimate would be from either ratios of power or differences of logarithms of powers, depending on the algorithm chosen.

Although modifications are straightforward and the cost is modest compared to total radar system cost, there is some engineering risk and limitations on system measurement imposed by the hardware.

The significant engineering risks are in the microwave hardware design and fabrication. There is no significant risk in the signal processing hardware. To perform well, the dual polarized system will require a close match of the vertical and horizontal antenna secondary pattern. This in turn requires a close match of the primary feed pattern as well as the blockage characteristics of the reflector and feed support apparatus. However, the antenna criteria present only a small risk (but no small expense) since they represent stringent engineering design rather than new technology development.

ment. There is a significant risk in the design and development of the switchable ferrite circulator. Most circulators in use to date are not rated for the high peak and average power (1 MW, 1.5 kW) required of NEXRAD. While the production costs of the higher power units would probably be a small increase over the lower power units presently in service, the one-time engineering development cost would probably be about \$500K. Another characteristic of the ferrite switch, which is more of a system limitation than an engineering risk, is the orthogonal isolation. The present technology can deliver a guaranteed isolation of about 20 dB with typical isolation of about 22 dB. It is not likely that this will improve with the existing materials and manufacturing techniques [ESI, 1983]. An isolation of 20 dB is adequate for the ZDR measurements (diagonal elements of the scattering matrix) but marginal for full matrix measurements (diagonal and off diagonal elements).

### 3.4.2 Measurement of CDR

Referring to Figure 3.10 the hardware needed for CDR measurements consists of antenna feed horn and a microwave circulator to resolve the returned elliptical polarization into its LH and RH circular components. An additional receiver is needed for the orthogonal component and a signal processor for CDR calculation. CDR estimation imposes a more stringent antenna design criteria than linear dual polarization. However, it is possible by careful design of the antenna coupler to achieve good isolation with acceptable cross coupling. The incremental cost of implementing the circular depolarization ratio measurement is about the same as that of differential reflectivity. Note that CDR measurement is not from sequential signals but sample pairs of powers are obtained from each returned echo. Consequently the standard error of CDR estimates would be smaller, and unlike the ZDR technique CDR measurements, do

not affect the Doppler spectral moments. However, the susceptibility of this measurement to the propagation effects makes it an unattractive candidate for operational implementation.

### 3.4.3 Simultaneous Measurement of $Z_{DR}$

A block diagram of the simultaneous system is given in Figure 3.11. The transmitter signal is divided in half and applied to the horizontal and vertical ports of the orthomode coupler via transmit and receiver circulators in both channels and a switchable 0 or 180° phase shifter in the vertical channel. The antenna feed is oriented conventionally and horizontally and vertically modes are excited either in phase to produce a polarization phase rotated by +45°, or 180° out of phase to produce a polarization plane rotation of -45°. The received signal is decomposed into its horizontal and vertical components by the orthomode coupler and applied to the receiver switch via the T/R circulators. The receiver outputs are applied to the signal processor.

Switching the signal polarization between +45° and -45° allows advantage to be taken of the symmetry of the bias error in  $Z_H$  and  $Z_{DR}$  due to drop canting and differential phase shift. Since these errors are approximately zero mean odd functions of the canting angle  $\delta_{H,DR}(\theta) = -\delta_{-H,DR}(-\theta)$  switching the polarization plane by 90° is equivalent to switching  $\theta$  which results in a cancellation of the bias when estimates are obtained from successive samples. The return signal is also switched between two receivers at one half the transmitter rate. Switching receivers provides inherent compensation of small receiver mismatch errors and relaxes the matching required to a reasonable value for an acceptable bias. Switching at half the transmitter rate prevents the receiver mismatch from contaminating the spectral moment estimation on pulse pairs.



3.5 Signal Processing Hardware

Estimation of differential reflectivity (or differential return power in  $Z_H$  and  $Z_{DR}$  estimates.

The simultaneous system would have some capability of increasing the data acquisition rate for a specified accuracy by using both polarizations for spectral moment estimation and by increasing range averaging interval for the mitter power and all other parameters equal.

signal detection capability 3 dB below an alternate system for a given trans-  
 Implemented as shown in Figure 3.11 the simultaneous system would have  
 differences.

NEXRAD modified for alternate sampling, this scheme has the following dif-  
 frequency specified and environmentally controlled. Compared to the existing  
 operational frequency and temperature range. This component would probably be  
 and antenna feed. The shifter is high risk for 1° accuracy over the NEXRAD  
 The developmental engineering risk of this system is in the phase shifter  
 SNR > 10 dB. At lower SNR the NTR required performance may not be achievable.  
 pairs containing a common pulse will result in negligible degradation at  
 signals or both. Moment estimation from spaced pairs rather than contiguous  
 Spectral moment estimation can be done on either horizontal or vertical  
 method, by range averaging.

the 0.1 dB needed to improve the rainfall rate estimate over the empirical Z-R  
 0.12 dB; about one half that for alternate sampling. This could be reduced to  
 (50 samples), the standard deviation of  $Z_{DR}$  for simultaneous sampling is  
 signal correlation is 0.995, spectrum width is  $4 \text{ m s}^{-1}$  and dwell time is 50 ms  
 scheme is given in Figure 3.12. It is seen that when square of the orthogonal  
 An example of the theoretical  $Z_{DR}$  estimate accuracy achievable with this

practice) can be done in a variety of ways. With the three basic receiver response types (square law, linear, or logarithmic) and two techniques of ensemble averaging (average of ratios and ratio of averages) one can derive six algorithms for  $Z_{DR}$ . However, as noted in Section 3.1 the most efficient algorithm in terms of minimum standard deviation for a given dwell time is the square law estimator (Bringt et al., 1983). Acquisition time is of course a prime consideration in the NEXRAD system.

The proposed algorithm for  $Z_{DR}$  calculation is a straightforward implementation of equation (3.1) with addition of the logarithmic operation, i.e.,

$$10 \log Z_{DR} = 10 \log \frac{\sum_{n=1}^m |E_H|^2}{\sum_{n=1}^m |E_V|^2} = 10 \log \frac{P_H}{P_V} \quad (3.8)$$

In the case of NEXRAD,  $|E|^2$  can be derived from either the complex video samples, I, Q (output of linear receiver), or the logarithm of echo power,

$\log P$ , (output of the log receiver) by

$$|E|^2 = I^2 + Q^2 \quad \text{or} \quad |E|^2 = \text{antilog}(\log P) \quad (3.9)$$

These operations require about the same amount of integrated circuits and hence are of equal cost. Averaging is done separately for each polarization with the estimate being the ratio of averages. The processor hardware characteristics are tabulated (Table 2) in the same fashion as other signal processors in the system (Sirmans, 1980).

It is seen that the hardware requirement and computational complexity is slightly greater than for the intensity integrator and less than for the velo-

stantial changes in either operational methods in conjunction with basic  
 mitted fundamentally to measurement of the spectral moments will require sub-  
 implementation of the orthogonal polarization measurement on a radar com-  
 not be necessary to realize the prime benefits of the technique.

ers, is marginal with existing hardware but full matrix measurement would  
 surement; i.e., estimation of the off diagonal as well as the diagonal param-  
 measurement without new engineering development. Full scattering matrix mea-  
 art engineering can provide the microwave hardware necessary for the basic  
 fall rate estimates and discrimination of the scatter phase. The state of the  
 Differential reflectivity has some potential for improving NEXRAD rain-  
 network radar product generation.

meteorologist the measurement would probably find little application in the  
 80 mm/hr. Since these are not the regions of prime interest to the radar  
 less than that corresponding to propagation through 10 km at rainfall rate of  
 regions or storm systems with low rainfall rates where the composite effect is  
 NEXRAD. Propagation effects will limit the usefulness of this measurement to  
 CDR measurements are of limited utility and questionable benefit for the  
 distinguishing the type of scatterer (i.e., ice or liquid water).

an empirical reflectivity rainfall rate relationship and it could also aid in  
 cular this information could improve the rainfall rate estimate over that of  
 potential for improving the capability of the NEXRAD network radar. In parti-  
 ters, provided by measurements at orthogonal polarizations  $Z_{DR}$ , has the  
 In general the information about the radar cross section of the scat-

### 3.6. Summary

Estimation of CDR involves essentially the same hardware consideration.

city and width calculation.

engineering changes or a redesign of a the RDA. The most restrictive aspect

of ZDR measurement with the network radar is the signal transmission sequence and data acquisition time required. The transmission of alternate orthogonal polarizations required for estimation of ZDR results in a degradation of spectral moments estimates with this pulse train and modification of the transmission sequence is necessary to accommodate both type measurements. This in itself results in a longer total dwell time for a given statistical accuracy of the estimates since the sequence cannot be optimized for either. The inherently longer dwell time for the ZDR estimation causes a significantly longer acquisition time for the composite measurement. For example, if  $Z_H$  is

Algorithm	Square Law ZDR Estimator
Input word length	12 bits
Number of range locations	1024
Storage word length	32 bits
Total memory	70K bits
Throughput rate	1 MHz
Output word length	8 bits
Computations	2 multiplications 1 division 2 summations (256 samples) 1 add 1 logarithmic operation (PROM)

Differential Reflectivity Calculator

TABLE 2

accurate to within 0.5 dB with a mean of 45 dBZ, the Z<sub>DR</sub> estimate needs to be accurate to 0.1 dB to provide a rainfall estimate error less than 20%. For the NEXRAD parameters the dwell time with alternate polarization required for Z<sub>DR</sub> accuracy of 0.1 dB is about three times that required for spectral moments estimate accuracy of  $1 \text{ m s}^{-1}$ . Since these two types of measurements have incompatible waveforms the total acquisition time is almost the sum of the two times.

Thus, if a straightforward implementation of Z<sub>DR</sub> on the NEXRAD is made (with equal range resolution of spectral moments and Z<sub>DR</sub>) the antenna rotation rate would have to be decreased (increase in dwell time) by about a factor of four. This would increase the volumetric throughput time from about five minutes to about twenty minutes.

A combination of increased dwell time and range averaging could also be used to achieve the required accuracies. Reducing antenna rotation rate by two and range averaging of Z<sub>DR</sub> over 3 km would provide a volumetric throughput of about 10 minutes and a Z<sub>DR</sub> measurement on scales greater than 6 km. If the Z<sub>DR</sub> measurement at only a limited number of elevations (i.e. lower one or two) is sufficient these could be acquired at a slow rotation rate (about one fourth that of the operational rate). However, the scan time for Z<sub>DR</sub> of about 1 1/2 minutes adds directly to the volumetric throughput time. Theoretically, the method of simultaneous measurement of powers from horizontally and vertically polarized echoes could provide the required statistics with a dwell time of about twice that in the present NTR for equal range resolution of Z<sub>DR</sub> and spectral moments. The simultaneous technique in conjunction with a 3 km range averaging of Z<sub>H</sub> and Z<sub>DR</sub> could in theory provide the estimates with the accuracy needed at the required throughput rate. However, this technique is unproven and would require extensive engineering

development and testing before acceptance. If accepted, the technique would require a major redesign of the present NEXRAD transmitter to maintain the present detection capability in addition to the receiver and antenna subsystems modification necessary for the ZDR measurement.

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## Simultaneous Dual Polarization Measurements

### APPENDIX

#### A.1. Z<sub>DR</sub> Measurement Criteria Compatible with NEXRAD Specifications

From the statistical considerations of Z<sub>DR</sub> signal as discussed in

Chapter 3, we can conclude that Z<sub>DR</sub> has to be estimated within 0.1 db accuracy to be useful for better rain rate computation. It was also shown that to

obtain less than 0.1 db standard deviation of Z<sub>DR</sub>, the averaging time or the number of samples required is large and cannot be obtained with the scan rate specified for NEXRAD. A scan rate of 18° s<sup>-1</sup> precludes more than about 50

samples per estimate if azimuthal smearing is to be less than 50% of the beam width. Therefore, in order to make the Z<sub>DR</sub> measurement compatible with NEXRAD we need to devise ways of estimating Z<sub>DR</sub> within 0.1 db using less than 50 contiguous samples (pulses). If alternate sampling scheme is used only 25 sample pairs are available per Z<sub>DR</sub> estimate.

Alternate sampling scheme (figure 3.9) is shown to be not very practical in terms of simultaneous measurement of spectral moments (see Sec. 3.2). Any other sampling sequence (e.g., see figure 3.8) further increases the variance of Z<sub>DR</sub> estimate.

In order to arrive at a measurement scheme compatible with NEXRAD specifications, it is not only necessary to devise means of reducing  $\sigma_{DR}^2$  but also of retaining the capability to estimate the spectral moments simultaneously with Z<sub>DR</sub> measurement. One of the schemes that may have such a capability is simultaneous sampling of E<sub>H</sub> and E<sub>V</sub> signals as suggested in Section (3.3).

This scheme provides vertically and horizontally polarized samples for every

The same notations are used as in Section 3. Plot of  $\sigma_{DR}^2$  expressed in dB's (see Eq. 3.5) versus the number of sample pairs  $M$  is presented in Figs. A.1 to A.3 for  $|p_{HV}(0)|^2 = 0.995, 0.99$  and  $0.98$  respectively, with a spectrum width as a parameter.  $\sigma_{DR}^2 = 0.0$  for  $|p_{HV}(0)|^2 = 1.0$ , is the ideal case of perfect correlation. For pair correlation better than 0.995,  $\sigma_{DR}^2$  spans 0.2 to 0.1 dB for spectrum widths between 1 and 4  $ms^{-1}$  for  $M=50$ . It is shown later in this section that pair correlation better than 0.995 can be expected in practice. However, for narrow spectrum widths ( $< 1 ms^{-1}$ )  $\sigma_{DR}^2$  is more than 0.2 dB and  $\sigma_z^2$  is greater than 1 dB (given by Eq. 3.6). This standard error can be reduced to less than 0.1 dB by spatial averaging over 6 to 9 range samples. There are several problems associated with simultaneous sampling. Important among these are propagation effect, receiver mismatch and cross polar discrimination which introduce bias errors in  $Z_H$  and  $Z_{DR}$  estimates. In order to assess the range of values that can be expected for pair correlation  $|p_{HV}(0)|^2$ , we consider several factors that decorrelate  $E_H$  and  $E_V$  signals. Bias errors and their compensation is considered later in this appendix.

An analysis of the variance of  $Z_{DR}$  estimate using simultaneous sampling of  $E_H$  and  $E_V$  shows that the functional form of  $var(Z_{DR})$  is similar to that for  $var(Z_H)$  but has an additional multiplying factor.  $var(Z_{DR})$  can be approximately expressed as

$$var(Z_{DR}) = 2Z_{DR}^2 (1 - |p_{HV}(0)|^2) \sum_{m=-(M-1)}^{(M-1)} (M - |m|) |p(m)|^2 \quad (A.1)$$

Further it also gives us as many sample pairs as the number of transmitted pulses, thus reducing the  $\sigma_{DR}^2$  in a given time, as compared to alternate pulse transmission, thus retaining the spectral moments estimation capability.

A.1.1 Non-Spherical Drop Shape and Drop Oscillations

The resolution volume consists of a large number of scatterers of different shapes and sizes depending on their thermodynamic phase state. We consider only raindrop scatterers in this study. Raindrops are known to take up oblate spheroidal shapes and the oblateness or (b/a-axis ratio) is a function of drop size. For a given equivalent diameter  $D_e$  of the drop, the equilibrium axis ratio b/a, is given by Green's equation (Green, 1975). Figure A.4 shows the variation of a/b ratio with equivalent diameter for this equilibrium model (solid curve a in the figure). Because the distribution of drop shapes follows their size distribution the vector sum of the horizontally polarized scattered fields and the vector sum of vertically polarized fields are slightly decorrelated. Further, the drops can oscillate between oblate and prolate spheroidal shapes about a mean a/b ratio. These oscillations are generally induced by drop collision and break up and can be assumed to have random phases, hence the measured  $Z_{DR}$  is proportional to time averaged mean a/b rather than the equilibrium a/b. Beard et al. (1983) have shown that this mean is slightly higher than equilibrium a/b (see Figure 4 curve b). The oscillation amplitudes are dependent on collision energy and its decay rate. For the purpose of computing the decorrelation due to the drop shape and oscillation we assume a truncated Gaussian distribution of drop shapes about the oscillation mean a/b as given by Beard et al. (1983). The standard deviation of a/b about the mean is taken to be 1/3 maximum amplitude which is also the truncation point of the distribution (Figure A.5). The standard deviation and maximum and minimum truncation values of a/b are a function of equivalent diameter  $D_e$  (Figure A.4). The form of variation of these limits are approximated from the observed maximum and minimum values of

In the analysis of decorrelation due to drop shape and oscillations, it was assumed that all the drops are oriented along vertical-horizontal direction. However, in nature, drops can have other orientations due to shear and turbulence in the resolution volume. Oguchi (1977) has constructed a raindrop distribution model with randomly canted drops for propagation studies. One of the important assumptions he made is that the canting angle is statistically independent of drop size. The canting angle distribution is assumed to be Gaussian with a mean  $\theta_0$  and standard deviation  $\sigma_\theta$ . The mean  $\theta_0$  introduces a bias error in the measured  $Z_{DR}$  but does not contribute to decorrelation of  $E_H$  by signals. The correlation coefficient  $| \rho_{HV}(0) |^2$  is a function of  $\sigma_\theta$  but is independent of  $\theta_0$ . In order to get an idea of the upper limit of the decorrelation due to canting angle distribution, we consider a mono-disperse drop size distribution with  $D_g = 5$  mm. Five to 8 mm is the maximum diameter of the drops observed in rain with bigger drops in higher rain rates. We also

A.1.2 Canting Angle Distribution

The computed theoretical values for the correlation coefficient  $\rho_{HV}(0)$  due to drop shape and oscillation are presented in Figure A.6. With oscillating rates less than 200  $\text{mmh}^{-1}$ . Correlation is  $> 0.995$  when the drops are not oscillating.

a/b (Figure A.4, curve c), as reported in the literature. Drop size distribution  $N(D)$  is assumed to be exponential Marshall-Palmer type  $N(D_g) = N_0 e^{-\lambda D_g}$  with  $N_0 = 8,000$ . The raindrops are assumed to be at a temperature  $0^\circ\text{C}$  and the corresponding refractive index value of  $m = \sqrt{\epsilon_r} = 8.99 + j 1.475$  at 3 GHz was used in the computation.

0.999. For simultaneous sampling the scanning would not affect time pair  
 18° s<sup>-1</sup>, 1° BW and 1 ms PRI, this correlation coefficient is better than  
 to scan the one-way (-3dB) beam width of the antenna. For NEXRAD scan rate of  
 where n is the number of samples (or transmitted pulses) in the time it takes

$$\rho_{HV}(1) = e^{-2\lambda n(2)/n^2} \quad (A.3)$$

Zrnich (1984).

relation for computing the correlation coefficient is given by Doyovak and  
 decorrelation time, and hence  $\rho_{HV}(1)$  for alternate sampling. An approximate  
 is no gradient and the rain field is uniform, scanning reduces time signal  
 gradient in the ZDR will be averaged to produce a mean value. Even when there  
 Antenna scanning shifts the resolution volume from pulse to pulse. Any

A.1.3 Decorrelation due to antenna scanning

eccentricity of the drop, reducing the effect of canting on  $|\rho_{HV}(0)|^2$ .  
 better than this number because the smaller the drop is, the less is the  
 deviation of  $\sigma_\theta = 18^\circ$ . For the rain with exponential DSD the correlation is  
 0.995 required for compatibility with NEXRAD even for very large standard  
 DSD is given in figure A.6. This figure shows that  $|\rho_{HV}(0)|^2$  is better than  
 in the computation. The correlation coefficient  $|\rho_{HV}(0)|^2$  for mono-disperse  
 An equilibrium shape with  $a/b = 0.68$  corresponding to a 5 mm drop  $D_e$  is used

$$N(\theta) = \frac{1}{\sqrt{2\pi}\sigma_\theta} e^{-\frac{\theta^2}{2\sigma_\theta^2}} \quad (A.2)$$

assume gaussian distribution for the canting angles with zero mean and  
 standard deviation  $\sigma_\theta$ . The canting angle distribution is given by

A schematic of the proposed simultaneous  $E_H$ ,  $E_V$  sampling system is given in Figure A.7. To sample  $E_H$  and  $E_V$  simultaneously, pulse transmission at 45° linear polarization is used. The horizontally polarized and vertically polarized waveguide modes are excited in phase in the antenna feed to rotate the polarization plane by exactly 45°. A power divider channels equal power into  $E_H$  and  $E_V$  ports of the orthomode coupler. In the receiving mode the two circulators channel the horizontally and vertically polarized signals into two separate phase and gain matched receivers. A phase shifter, switchable between two phase settings of 0° and 180°, is introduced in the transmission path of the vertically polarized signal so that the polarization plane can be switched between +45° and -45° with respect to horizontal. This switching is incorporated to compensate for the propagation effect which is explained later

## A.2. ZDR Measurement With Simultaneous Sampling

Advantages of the scheme.

consider the possible accuracy of ZDR measurement, and advantages and disadvantages of the scheme.

ZDR estimation compatible with NEXRAD specifications is to sample  $E_H$  and  $E_V$  simultaneously. We propose a scheme of measurement in the next section and All these considerations establish that the only possible way of making of  $|\rho(1)|^2$  for one pulse lag with spectrum width is given in Figure A.6.

Gaussian spectra the correlation coefficient is given by (3.8). The variation with time, but does not affect  $|\rho_{HV}(0)|^2$  because of zero time lag. For a Doppler velocity spread is mainly responsible for signal decorrelation

### A.1.4 Decorrelation Due to Doppler Velocity Spread

alternate sampling, and hence it can be neglected.

correlation. The decorrelation due to antenna scanning is very small even for

field.

of error can be evaluated using simplified models such as a uniform rain canting angle and phase state, along the propagation path. However, the order effect exactly for a complex precipitation medium of varying reflectivities, measured  $Z_{DR}$  and  $Z_H$ . It is extremely difficult to evaluate the propagation and horizontally polarized propagating fields, leading to bias error in the hydrometeors in the propagation path cause a cross coupling between vertically Together the differential propagation properties and the canting angle of the phase shift for the horizontally and vertically polarized waves differ. orientation of the scatterers in the propagation medium, the attenuation and through the intervening precipitation medium. Because of the preferred The transmitted pulse propagates to the resolution volume and back

#### A.2.1 Propagation Effect

possible except in the most severe cases of propagation contamination. gested bias error compensation,  $Z_{DR}$  estimation within 0.1 dB accuracy is possible for these errors. It is shown that with the implementation of the suggestion. We consider each factor separately and suggest methods to compensate the variance of  $Z_{DR}$  but introduce a bias error in the  $Z_{DR}$  and  $Z_H$  measurements of  $Z_H$ ,  $Z_{DR}$ , mean Doppler velocity, etc. These factors do not which must be investigated for the order of error these factors introduce in polarization discrimination in the antenna coupler and receiver mismatch, hardware. There are three important aspects, namely the propagation effect, sample pairs ( $E_H$ ,  $E_V$ ), we encounter additional problems due to propagation and while simultaneous sampling makes it possible to obtain highly correlated in this section.



In terms of the eigenvalues and eigenvectors of the matrix  $M$  and can be

The solution of the simultaneous differential equation (A.4) is obtained

angle distribution as well as drop size distribution.

tributition about mean  $\theta$ . In general, the elements of  $[f]$  depend on the canting

same canting angle  $\theta$ , and are negligible if canting angle has symmetric dis-

The off-diagonal terms  $f_{12}$  and  $f_{21}$  are zero if all the scatterers have the

the matrix  $[f]$  is the forward scatter matrix for this elemental path length.

$\theta$  is the canting angle of the scatterers in the elemental path length  $\delta z$  and

$$M_I = \begin{bmatrix} \cos\theta & \sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} f_{11} & f_{12} \\ f_{21} & f_{22} \end{bmatrix} \begin{bmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{bmatrix} \quad (\text{A.6})$$

product of three matrices and is given by

where  $k_0$  is the propagation constant for the free space. The matrix  $M_I$  is a

$$M_0 = \begin{bmatrix} 0 & 0 \\ -jk_0 & 0 \end{bmatrix} \quad (\text{A.5})$$

$M_0$  is the free space propagation matrix given by

matrix  $E = \begin{bmatrix} E_H \\ E_V \end{bmatrix}$ . The  $2 \times 2$  matrix  $M$  is the sum of two matrices  $M_0$  and  $M_I$ .

where  $z$  is the path length in the propagation direction and the column

$$\frac{dE}{dz} = ME \quad (\text{A.4})$$

of electric field  $E$  through rain is governed by the differential equation

consider the theory of propagation through rain medium briefly. Propagation

In order to understand the propagation effect on  $Z_H$ ,  $Z_{DR}$  measurements we

$$[E^r] = [T] [E^t] \quad (A.7)$$

where  $[T]$  is known as the transmission matrix. The subscript  $t$  and  $r$  represent transmitted and received fields. The elements of  $[T]$  are functions of mean canting angle  $\theta$  and differential propagation phase shift  $\phi$ .

The solution (A.7) is for uniform rain field of propagation path length  $z$ . In general, the rain field is not uniform - the rain rate, drop size distribution, mean canting angle, etc., vary along the propagation path which makes the solution of equation (A.4) very difficult. However, an approximate solution can be obtained by dividing the propagation path into several uniform rain field subsections and cascading the solution as proposed by McCormick

(1975).

To evaluate the effect of propagation on reflectivity and differential

reflectivity measurements, we consider a uniform rain medium with rain rate and mean canting angle constant along the entire propagation path  $z$ . Given the horizontally and vertically polarized transmitted field,  $E_t^H$  and  $E_t^V$ , the received field components  $E_r^H$  and  $E_r^V$  will contain cross-coupled terms if the mean canting angle differs from zero. Cross coupling is a function of mean canting angle and the differential propagation phase shift  $\phi$ .

The analysis presented so far is for one-way propagation. In the case of a radar the forward propagation from the radar to the resolution volume and the reverse propagation from resolution volume to the radar antenna have

transmission matrices whose off-diagonal terms have opposite signs due to the change in propagation direction. Since we are evaluating the effect of propagation only, for simplicity, we assume that the canting angle of the drops in

At S-band frequencies (3 GHz) the path attenuation is very small (Fang 3 and Chen, 1982), so we consider only the differential phase shift. The total dif-

$$\hat{\chi}_{DR} = \frac{\langle |E_V^r|^2 \rangle}{\langle |E_H^r|^2 \rangle}$$

and (A.10)

$$\hat{\chi}_H = \langle |E_H^r|^2 \rangle$$

and measured differential reflectivity  $\hat{\chi}_{DR}$  using square law estimators: Putting  $E_H^t = E_V^t = 1.0$  in (A.8), we can evaluate the measured reflectivity  $\hat{\chi}_H$

$$Z_{DR} = \frac{\langle |S_{11}|^2 \rangle}{\langle |S_{22}|^2 \rangle}$$

and (A.9)

$$Z_H = \langle |S_{11}|^2 \rangle$$

For simultaneous  $E_H, E_V$  sampling scheme (Figure A.7) the transmitted fields  $E_H^t$  and  $E_V^t$  are equal. From the scatter matrix for the resolution volume, the true  $Z_H$  and  $Z_{DR}$  can be expressed as

$$\begin{bmatrix} E_H^r \\ E_V^r \end{bmatrix} = \begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \begin{bmatrix} E_H^t \\ E_V^t \end{bmatrix} + \begin{bmatrix} S_{11} \\ S_{22} \end{bmatrix} \quad (A.8)$$

expressed as the resolution volume is zero and hence the scatter matrix  $[S]$  for the resolution volume has only diagonal terms. The received fields can then be

$$\delta Z_H = \left( \frac{Z_H}{\hat{X}_H} - Z_H \right) 100 \% \text{; or } \delta Z_H = 10 \log \left( \frac{Z_H}{\hat{X}_H} \right) \text{ dB.} \quad (\text{A.14})$$

The transmission matrix elements are path-integrated values and therefore can be assumed to be constant over the sampling interval. From equations (A.9), (A.11) and (A.13) the bias error in the estimated values of  $Z_H$  and  $Z_{DR}$  can be expressed as

$$\begin{aligned} \text{where} \quad A &= (T_{11}^2 + T_{11}T_{12}) \\ B &= (T_{12}T_{22} + T_{12}^2) \\ C &= (T_{12}T_{11} + T_{12}^2) \\ D &= (T_{22}^2 + T_{12}T_{22}) \end{aligned}$$

$$\text{and} \quad \hat{X}_{DR} = \frac{\hat{X}_V}{\hat{X}_H} = \frac{Z_{DR} |C|^2 + |D|^2 + Z_{DR}^{1/2} (CD^* + C^*D)}{Z_{DR} |A|^2 + |B|^2 + Z_{DR}^{1/2} (AB^* + A^*B)} \quad (\text{A.13})$$

$$\hat{X}_V = \langle |E_V|^2 \rangle = Z_H |C|^2 + Z_V |D|^2 + \langle S_{11}^{*2} \rangle CD^* + \langle S_{11}^{*2} \rangle C^*D \quad (\text{A.12})$$

$$\begin{aligned} \hat{X}_H = \langle |E_H|^2 \rangle &= \langle |S_{11}|^2 \rangle |A|^2 + \langle |S_{22}|^2 \rangle |B|^2 + \langle S_{11}^{*2} \rangle AB^* + \langle S_{11}^{*2} \rangle A^*B \\ &= Z_H |A|^2 + Z_V |B|^2 + \langle S_{11}^{*2} \rangle AB^* + \langle S_{11}^{*2} \rangle A^*B \end{aligned} \quad (\text{A.11})$$

and  $Z_{DR}$  as,  
 In terms of the elements of the transmission matrix and the true values of  $Z_H$   
 From (A.8) and (A.10) the estimated values of  $X_H$  and  $X_{DR}$  can be expressed  
 size distribution.

ferential phase shift  $\phi$  is a function of path length  $z$  and rain rate via drop

Equation (A.13) shows that the bias error in the estimated  $Z_H$  and  $Z_{DR}$  values is a function of mean canting angle (contained in the  $T$  matrix), differential propagation phase shift and the true  $Z_{DR}$  of the resolution volume. The error can be significant for large propagation phase shifts. For a propagation phase shift of  $90^\circ$  and true  $Z_{DR} = 3$  dB the error in  $X_{DR}$  can be as much as 50% for a mean canting angle  $\theta = 80^\circ$ . In general, canting angle in a rain medium is distributed about zero mean. The effect of canting angle distribution is to reduce the differential propagation constant thus reducing the propagation effect (Oguchi, 1983). Further, differential phase shift per kilometer is small at 3 GHz and it would take a propagation path length of at least 40 km in  $50 \text{ mmh}^{-1}$  rain to produce a two-way differential phase shift of  $180^\circ$ . The error due to two way differential propagation phase shift  $\phi$  increases as  $\phi$  increases from  $0^\circ$  to  $90^\circ$  and then the error decreases to zero when  $\phi = 180^\circ$ . For  $\phi$  beyond  $180^\circ$  the error increases rapidly and can be more than 50% at  $\phi = 360^\circ$ . Though these kinds of phase shifts are uncommon in nature, it is desirable to compensate for this bias error to increase the usefulness of  $Z_{DR}$  measurement.

It was observed in the course of bias error computation that the functional form of the bias error with  $\phi$  is similar for mean canting angles  $+\theta$  and  $-\theta$  ( $\theta$  measured from horizontal) with a change in sign. An error compensation scheme was devised based on this fact. Referring to Figure A.7, the polarization of the transmitted pulse is switched alternately between  $+45^\circ$  and  $-45^\circ$  to the horizontal by changing the phase of the vertical input by  $180^\circ$ . This is equivalent to switching canting angles between  $+\theta$  and  $-\theta$ , as far as

and

$$\delta Z_{DR} = \left( \frac{X_{DR} - Z_{DR}}{Z_{DR}} \right) 100\% \text{ or } \delta Z_{DR} = 10 \log \left( \frac{X_{DR}}{Z_{DR}} \right) \text{ dB.} \quad (\text{A.15})$$

the bias error in  $Z_{DR}$  and  $Z_H$  is concerned.  $X_{DR}$  and  $X_H$  are estimated using expressions (A.10) except that the successive samples are obtained from +45° and -45° polarized transmissions.

The bias error  $\delta Z_{DR}$  for +45° transmissions for various canting angles is presented in figure A.8 as a function of two-way differential phase shift. The true  $Z_{DR}$  of the resolution volume is +3dB and the error is expressed in decibels. It can be seen that the two sets of curves for + $\theta^\circ$  and - $\theta^\circ$  transmissions are nearly symmetric about the zero line. However, the error cancellation is not perfect because of a small asymmetry between the two sets of curves. The bias error, when alternate switching of polarization planes is employed, is a monotonically increasing function of  $\phi$ , but the error is drastically reduced from that of +45° transmission alone (figure A.9).

Figures A.10 through A.14 give the error for different  $Z_{DR}$  values, positive as well as negative.

Corresponding bias error in  $Z_H$  for a 45° polarization and alternate polarization switched scheme are given in figures A.15 and A.16. These show that the error cancellation is more effective in  $Z_H$  than in  $Z_{DR}$ . After compensation error in  $Z_H$  is less than 0.26 dB for  $\phi = 360^\circ$  and  $\theta = 10^\circ$  which is better than that for alternate sampling (figure 3.7).

To get an idea of the order of differential phase shifts encountered in nature we present the theoretically computed values of the two-way differential propagation phase shift  $\phi$  per kilometer path length versus rain rate, at 3 GHz transmission frequency. The values in figure A.17 are computed using an exponential drop size distribution  $N(D) = N_0 e^{-AD}$ ;  $N_0 = 0.8 \times 10^4 \text{ m}^{-3} \text{ mm}^{-1}$ . Figure A.18 presents the differential phase shift versus propagation path length for various rain rates. Uniform rain rate over the entire path length has been assumed in the computation.

$$Z_{DR} = \frac{\langle |E_V|^2 \rangle}{\langle |E_H|^2 \rangle} \quad (\text{A.17a})$$

$X_{DR}$ .

To evaluate this error we set  $Z_{DR}$  estimated using  $E_H$ ,  $E_V$  as the true  $Z_{DR}$  and the one estimated using  $V_A$ ,  $V_B$  as the measured differential reflectivity

coupled power introduces a bias error in  $Z_{DR}$  estimate. lower limit to the achievable cross coupling factor and the residual cross- and  $a_{12}^* = a_{21} = \alpha$  is the cross coupling (symmetric coupler). There is a corresponding to the power flow in the receive mode. The elements  $a_{11} = a_{22} = 1.0$  sider only part of the matrix (i.e.  $E_H$ ,  $E_V$  inputs and  $V_A$ ,  $V_B$  outputs) complete scatter matrix is a  $4 \times 4$  matrix for the four port network, we con- where matrix  $[a]$  is the scatter matrix of the feed and coupler. Although the

$$\begin{bmatrix} V_A \\ V_B \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} E_H \\ E_V \end{bmatrix} \quad (\text{A.16})$$

terms of  $E_H$ ,  $E_V$  as, tal and vertical channels respectively, then the  $V_A$  and  $V_B$  can be expressed in rized incident fields on the antenna and  $V_A$  and  $V_B$  are the outputs of horizon- a scatter matrix  $[a]$ . If  $E_H$  and  $E_V$  are the horizontally and vertically pola- assembly of horn feed and the orthomode transducer can be characterized using two polarizations due to the cross coupling between the two ports. The entire orthogonal, there are practical limitations in separating the powers in these zontally polarized received signals. Though these two modes are theoretically horn with an orthogonal mode transducer to separate the vertically and hori- The dual polarization capability Doppler radar antenna uses a scalar feed

## A.2.2 Polarization Discrimination in the Antenna Coupler

and using (A.17a) we have,

$$[a]^{-1} = \frac{1}{1 - |\alpha|^2} \begin{bmatrix} 1 & -\alpha \\ -\alpha^* & 1 \end{bmatrix} \quad (\text{A.19})$$

value  $\hat{X}_{DR}$ . Taking the inverse of matrix [a],  
 nitions in (A.17), we can express the true  $Z_{DR}$  in terms of the measured  
 Taking the inverse of the coupler scatter matrix [a] and using the defini-  
 scatter matrix of the coupler can be exactly determined by measurements.  
 and hence can be neglected) the phase of  $\rho$  is an unknown parameter. There  
 reflection phase shift at the scatterer (this is very small - less than  $0.2^\circ$   
 because of the differential propagation phase shift and the differential  
 section that the correlation coefficient  $|\rho|^2$  is better than 0.995. However,  
 For simultaneous sampling of  $E_H$  and  $E_V$ , it has been shown in the previous  
 $E_H$  and  $E_V$  samples.  
 where  $\text{Re}(\cdot)$  indicates real part and  $\rho$  is the correlation coefficient between

$$\hat{X}_{DR} = \frac{Z_{DR} + |\alpha|^2 Z_{DR} + \frac{1}{2} Z_{DR} \text{Re}(\alpha^* \rho)}{1 + |\alpha|^2 Z_{DR} + \frac{1}{2} Z_{DR} \text{Re}(\alpha^* \rho)} \quad (\text{A.18})$$

Using (A.16)  $\hat{X}_{DR}$  and  $Z_{DR}$  can be related via equation,

$$\hat{X}_{DR} = \frac{\langle |V_A|^2 \rangle}{\langle |V_B|^2 \rangle} \quad (\text{A.17b})$$



In (A.20) the correlation coefficient  $\rho_V$  is between  $V_A$  and  $V_B$  which can be estimated along with  $X_{DR}$ . If  $\alpha$  is determined accurately, the error in  $Z_{DR}$  can be corrected using (A.20).

It is desirable to reduce  $\alpha$  to a lowest possible value by fine tuning the coupler, and further improvement in  $Z_{DR}$  estimate can be made using (A.20). It may be noted here that a coupling factor  $|\alpha|^2$  less than -35 dB leads to an error level of less than 0.1 dB in  $Z_{DR}$  without the correction factor applied. Because radar operates at a fixed frequency and the frequency can be made extremely stable, it should be possible in practice to fine tune the ortho-mode coupler for less than -35 dB cross coupling.

A.2.3 Bias Error Due to Receiver Mismatch

For accurate measurement of  $Z_{DR}$  the two receivers (see Figure A.7) have to be matched in gain. Phase matching is needed if the differential phase shift between  $E_H$  and  $E_V$  samples is being measured. Because meteorological signals have large dynamic range (>80 dB), perfect phase and gain matching of the receivers over the entire dynamic range is very difficult. Power gain has to be matched to within 0.1 dB (approximately 2.5% accuracy to maintain  $Z_{DR}$  bias error less than 0.1 dB. Suggested here is a scheme which reduces the bias error in  $Z_{DR}$  due to receiver mismatch. It is shown that with this scheme a 10% mismatch in the gains of two receivers translates to less than 0.1% bias error in the estimated  $Z_{DR}$ .

A schematic of the hardware is shown in Figures A.19 and A.20. The received signals  $E_H$  and  $E_V$  are switched between the receivers  $R_1$  and  $R_2$

$$Z_{DR} = \frac{1 + X_{DR} + |\alpha|^2 - X_{DR}^{1/2} Z_{RE}(\alpha^* \rho_V)}{X_{DR} + |\alpha|^2 - X_{DR}^{1/2} Z_{RE}(\alpha^* \rho_V)} \quad (A.20)$$

alternately with each pulse transmission. A second switch  $S_2$ , in synchronism with  $S_1$ , switches the outputs to the proper horizontal and vertical channels so that the subsequent circuits need not be altered.

Let the power gain of receiver  $R_1$  be  $G_1 = G$  and that of  $R_2$  be  $G_2 = G + \delta G$ . If  $E_{H_i}$  and  $E_{V_i}$  are the horizontal and vertical signal inputs, the corresponding outputs  $V_{H_i}$  and  $V_{V_i}$  can be written as,

$$\begin{aligned}
 V_{H_i} &= E_{H_i} G_1^{1/2}; \quad i = 1, 3, 5, \dots \\
 V_{H_i} &= E_{H_i} G_2^{1/2}; \quad i = 2, 4, 6, \dots \\
 V_{V_i} &= E_{V_i} G_2^{1/2}; \quad i = 1, 3, 5, \dots \\
 V_{V_i} &= E_{V_i} G_1^{1/2}; \quad i = 2, 4, 6, \dots
 \end{aligned}
 \tag{A.21}$$

The estimated horizontal and vertical reflectivities are proportional to

$$\hat{Z}_H = \langle V_{H_i} V_{H_i}^* \rangle = \frac{G}{2\delta G} P_{H1} + \frac{M}{2\delta G} P_{H2}
 \tag{A.22}$$

and

$$\hat{Z}_V = \langle V_{V_i} V_{V_i}^* \rangle = \frac{G}{2\delta G} P_{V1} + \frac{M}{2\delta G} P_{V2}
 \tag{A.23}$$

where  $P_{H1} = \frac{1}{2} |E_{H_i}|^2$ ;  $P_{H2} = \frac{1}{2} |E_{H_i}|^2$ ;  $P_{V1} = \frac{1}{2} |E_{V_i}|^2$  and  $P_{V2} = \frac{1}{2} |E_{V_i}|^2$ .

where a and b are fractional errors ( $|a|, |b| \ll 1$ ) which tend to zero for large M. The measured value  $\hat{X}_{DR}$  remains accurate when  $|\rho_{HV}(0)|^2 \approx 1.0$  because there fractional errors a and b are equal. If the correlation coefficient is less

$$\frac{2\rho_{V1}}{P} = (1+b)$$

and

$$\frac{2\rho_{H2}}{P} = Z_{DR} (1+a)$$

For some finite M we can write:

zero bias error.

For sufficiently large M the ratio of summations in the numerator and denominator of (A.25) tend to  $Z_{DR}$  and unity respectively, making  $\hat{X}_{DR} = Z_{DR}$ , i.e.,

$$\hat{X}_{DR} = \frac{\langle |V_H|^2 \rangle}{\langle |V_V|^2 \rangle} = \frac{Z_{DR} + \frac{G_P V1}{2G_P H2}}{1 + \frac{G_P V1}{2G_P V2}} \quad (A.25)$$

Denoting the estimated value of differential reflectivity as  $\hat{X}_{DR}$ ,

$$Z_{DR} = \frac{P_{H1}}{P_{V1}} \quad (A.26)$$

is

The true value of the differential reflectivity at the input of the receiver

pair processing is used. bias error in the mean velocity due to phase mismatch in receivers, when pulse switched once in two pulse transmissions. This procedure also removes the rate has to be half that of polarization switching. The receivers are can lead to errors in the measured  $X_{DR}$ . To avoid this the receiver switching when polarization switching and receiver switching are used simultaneously it using  $+45^\circ$  and  $-45^\circ$  transmission to compensate for the propagation effect. suggested in this report (Figure A.26) the successive samples are obtained sequence  $E_H$  and  $E_V$  is ideal. In the simultaneous measurement of  $E_H$  and  $E_V$  as In this analysis of receiver switching we have assumed that the input approximately 0.1%.

in a and b ( $\rho \approx 0.9$ ), the error in measured differential reflectivity  $X_{DR}$  is For a 10% error in receiver gain match (i.e.,  $\frac{\delta g}{g} = 0.1$ ) and 10% difference

$$X_{DR} = Z_{DR} = \frac{1 + \frac{g}{\delta g} (1+b)}{1 + \frac{g}{\delta g} (1+a)} \quad (A.26)$$

to some residual error in  $X_{DR}$  given by than unity, the fractional errors are different in general. This gives rise

FIGURE CAPTIONS

Fig. A.1 Standard deviation,  $\sigma_{DR}$ , versus number of pairs,  $M$ , for simultaneous sampling of  $E_H$  and  $E_V$ ,  $|p_{HV}(0)|^2 = 0.995$ .

Fig. A.2 Standard deviation,  $\sigma_{DR}$ , versus  $M$  for simultaneous sampling of  $E_H$  and  $E_V$ ,  $|p_{HV}(0)|^2 = 0.99$ .

Fig. A.3 Standard deviation,  $\sigma_{DR}$ , versus  $M$  for simultaneous sampling of  $E_H$  and  $E_V$ ,  $|p_{HV}(0)|^2 = 0.98$ .

Fig. A.4 Axis ratio  $a/b$  versus equivoolumetric drop diameter  $D_e$ .  
 (a) Equilibrium shape, (b) time averaged  $a/b$  for oscillating drops,  
 (c) upper and lower limits of  $a/b$  for oscillating drops.

Fig. A.5 Truncated Gaussian distribution of  $a/b$  ratios.

Fig. A.6 Pair correlation coefficient variation with rainfall rate  $R$  for oscillation model; standard deviation  $\sigma_\theta$  of canting angle distribution; variation of  $|p(1)|^2$  with spectrum width.

Fig. A.7 Block diagram of radar for simultaneous sampling of  $E_H$  and  $E_V$ .

Fig. A.8 Bias error  $\delta Z_{DR}$  variation with two way differential propagation phase shift  $\phi$  for transmission at  $+45^\circ$  polarization and simultaneous sampling of  $E_H$  and  $E_V$ . Mean canting angle  $\theta$  is shown as a parameter. True value of  $Z_{DR}$  is 3 dB.

- Fig. A.9 Bias error  $\delta Z_{DR}$  after compensation. Transmission is switched between  $\pm 45^\circ$  polarizations alternately;  $Z_{DR} = 3\text{dB}$ .
- Fig. A.10  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = 2\text{ dB}$ .
- Fig. A.11  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = 1\text{ dB}$ .
- Fig. A.12  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = -1\text{ dB}$ .
- Fig. A.13  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = -2\text{ dB}$ .
- Fig. A.14  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = -3\text{ dB}$ .
- Fig. A.15 Bias error  $\delta Z_H$  versus two way propagation phase shift  $\phi$  with  $\theta$  as a parameter for  $+45^\circ$  polarized transmission;  $Z_{DR} = 3\text{ dB}$ .
- Fig. A.16 Bias error  $\delta Z_H$  versus  $\phi$  after compensation;  $\pm 45^\circ$  polarized transmission;  $Z_{DR} = 3\text{ dB}$ .
- Fig. A.17 Two way differential phase shift per km versus rainfall rate R. as a parameter.
- Fig. A.18 Two way differential phase shift  $\phi$  versus path length z with R as a parameter.
- Fig. A.19 Schematic of receiver switching for mismatch compensation.

Fig. 2.1 The geometry of raindrop scattering.

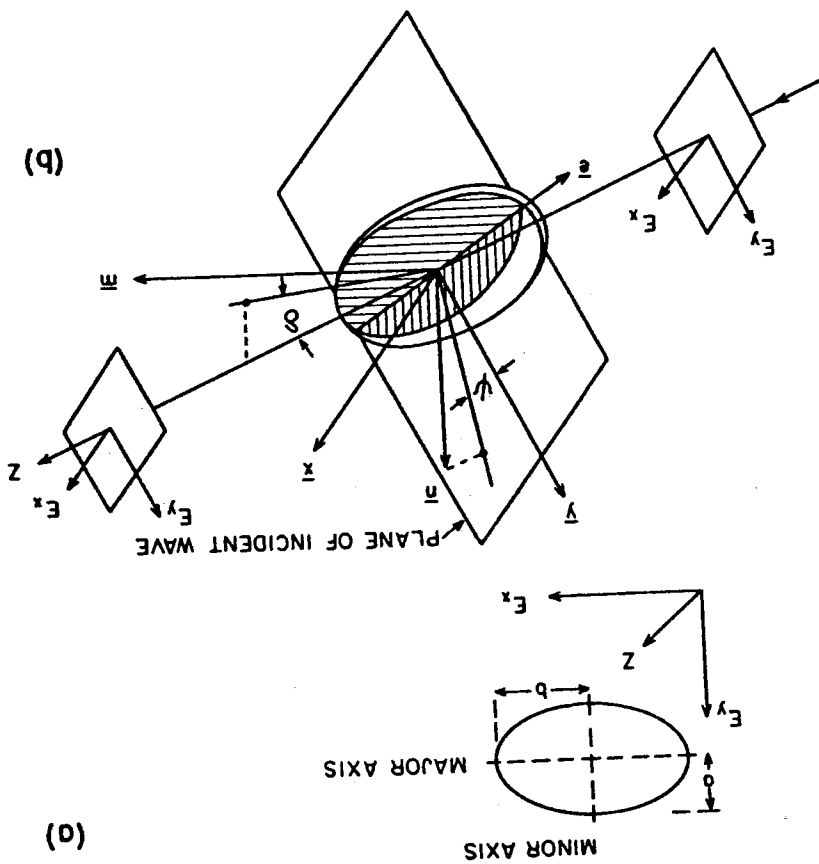


Fig. 2.2 Relation between differential reflectivity,  $Z_{DR}$ , and rainfall rate,  $R$ , for exponential DSD. The Marshall-Palmer distribution corresponds to  $N_0 = 8000 \text{ m}^{-3} \text{ mm}^{-1}$ .

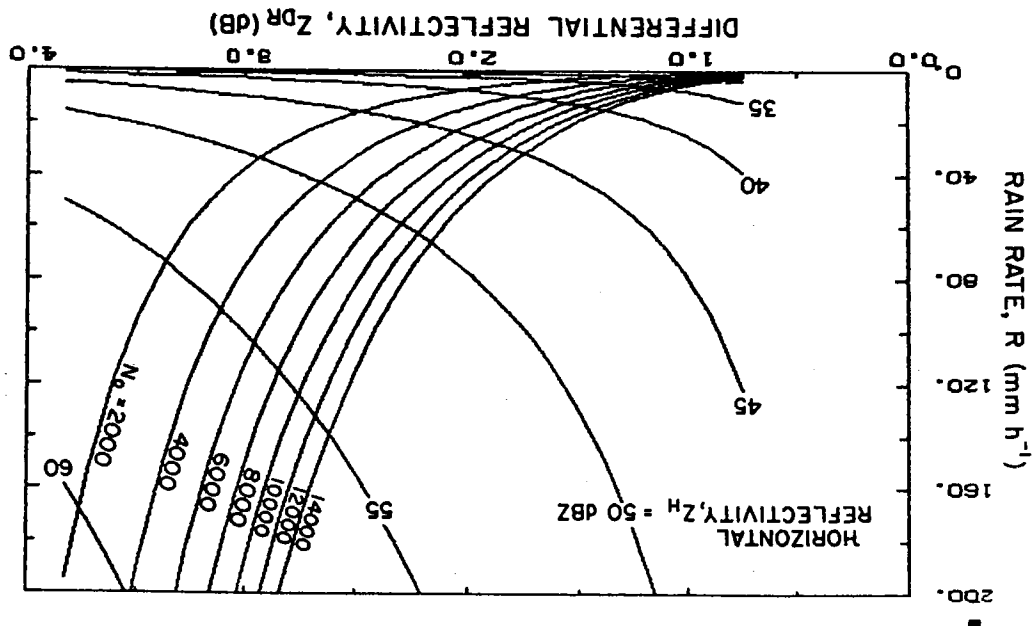




Fig. 3.1 Standard deviation of  $Z_{DR}$ ,  $\sigma_{DR}$ , versus numbers of sample pairs,  $M$ , for  $|p_{HV}(0)|^2 = 1.0$ .

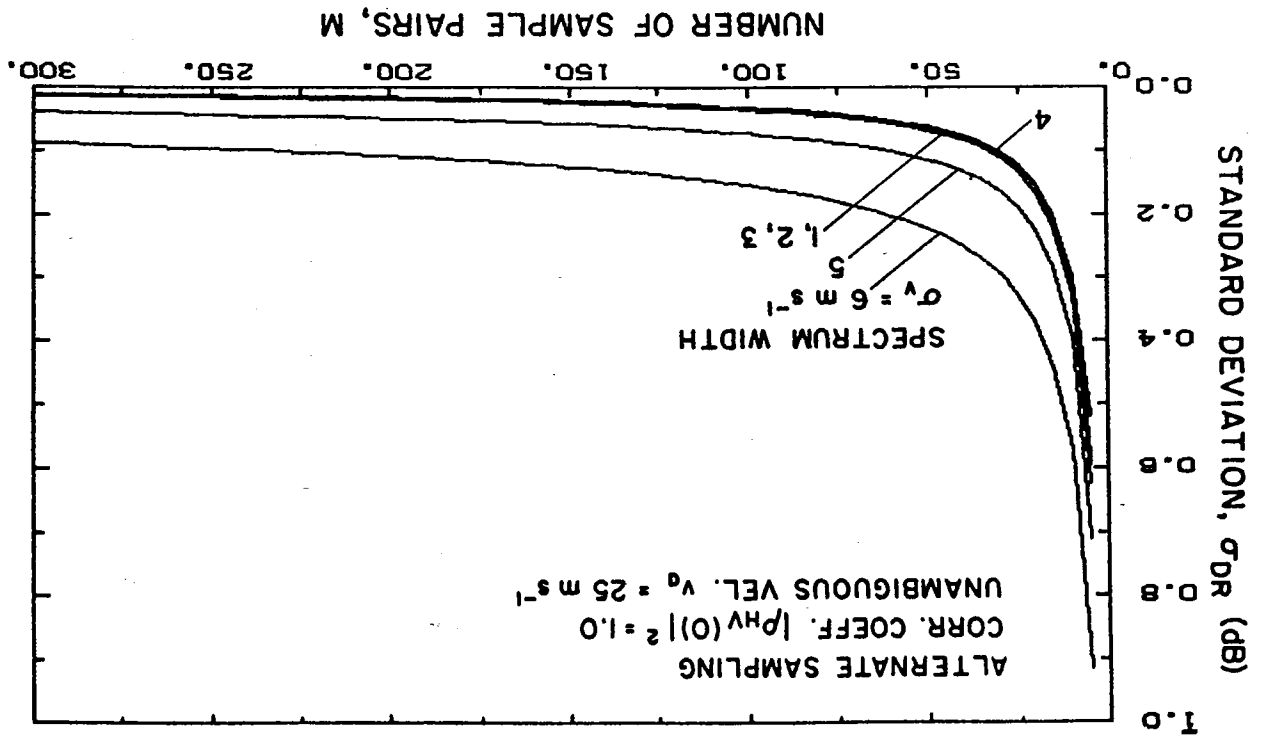
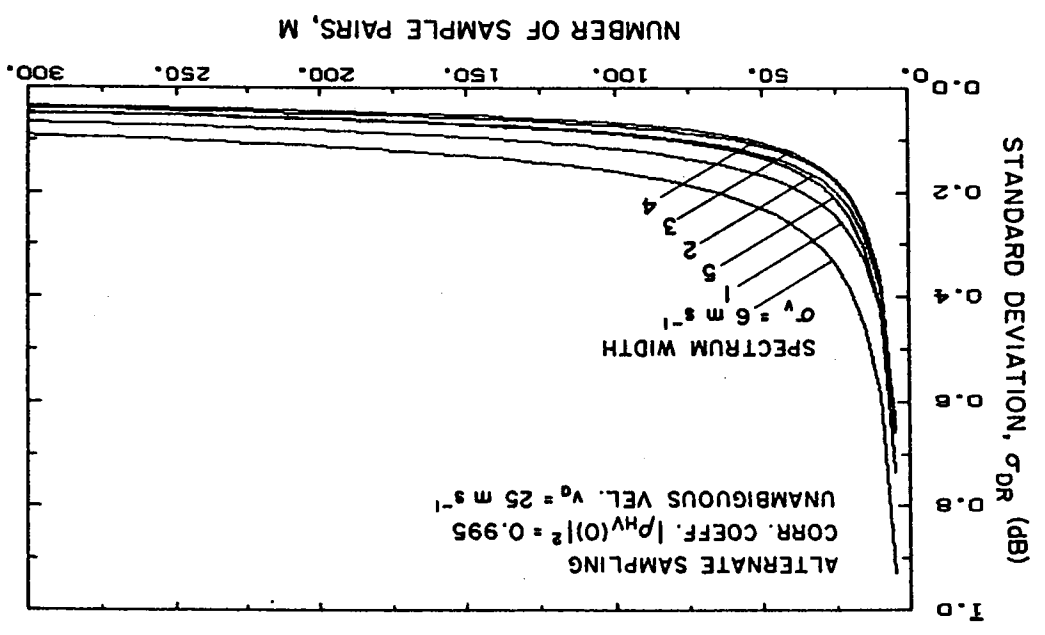


Fig. 3.2 Standard deviation of  $Z_{DR}^{\circ}$  versus numbers of sample pairs,  $M$ , for  $|\rho_{HV}(0)|^2 = 0.995$ .



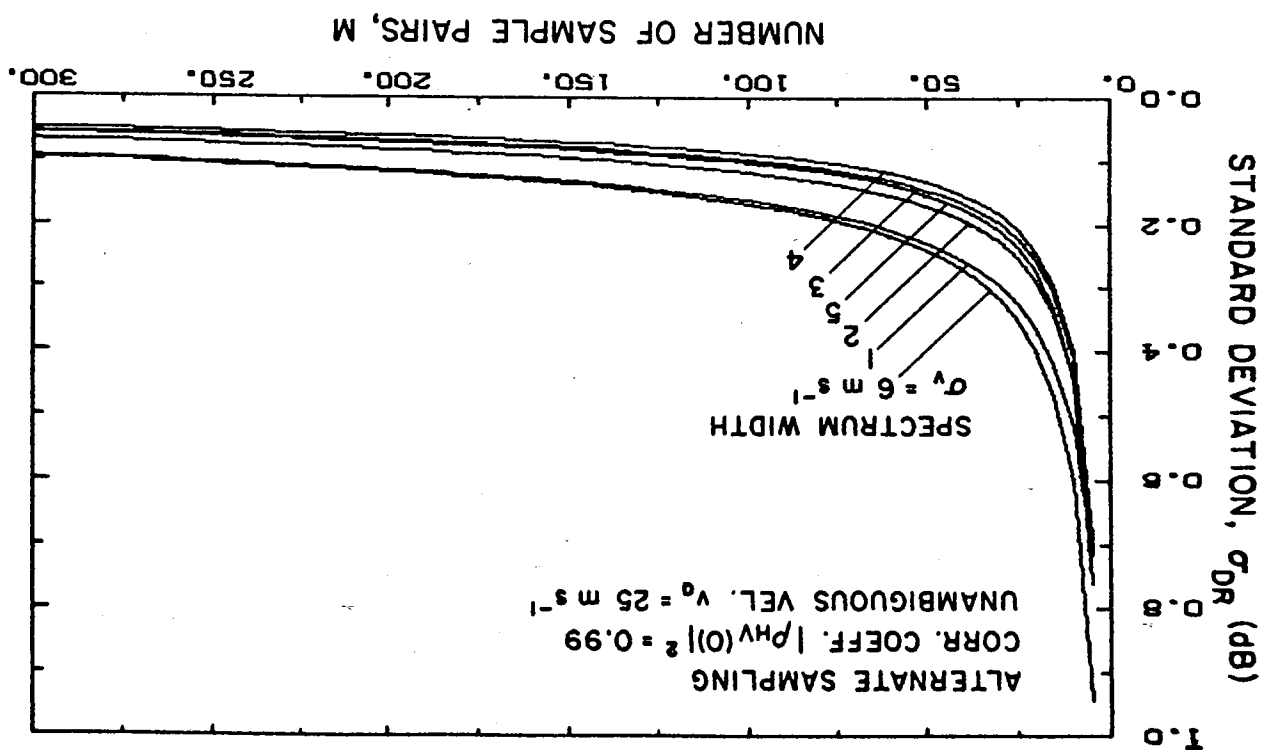


Fig. 3.3 Standard deviation of  $Z_{DR}$ ,  $\sigma_{DR}$ , versus numbers of sample pairs, M, for  $|\rho_{HV}(0)|^2 = 0.99$ .

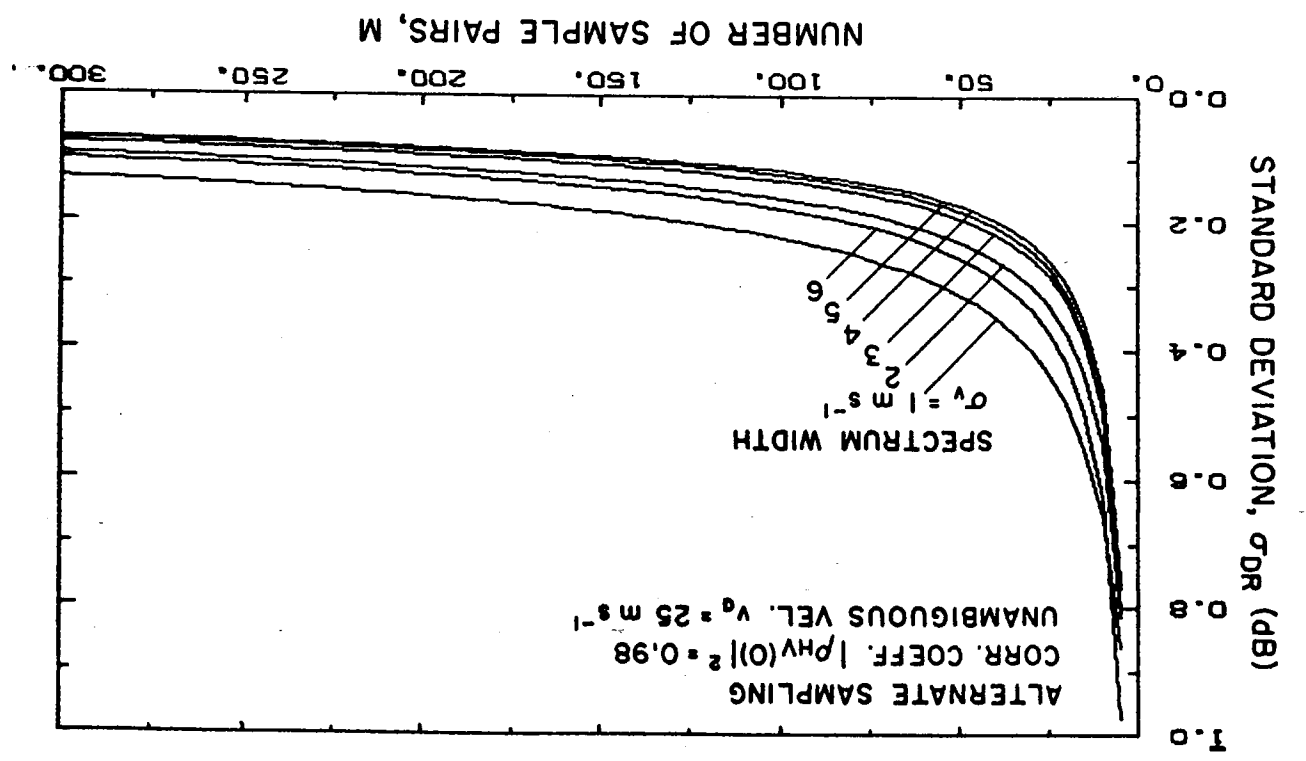


Fig. 3.4 Standard deviation of  $Z_{DR}^{oDR}$  versus numbers of sample pairs,  $M$ , for  $|\rho_{HV}(0)|^2 = 0.98$ .

Fig. 3.5 Bias error in horizontal reflectivity,  $\delta Z_H$ , due to propagation with alternate sampling scheme.

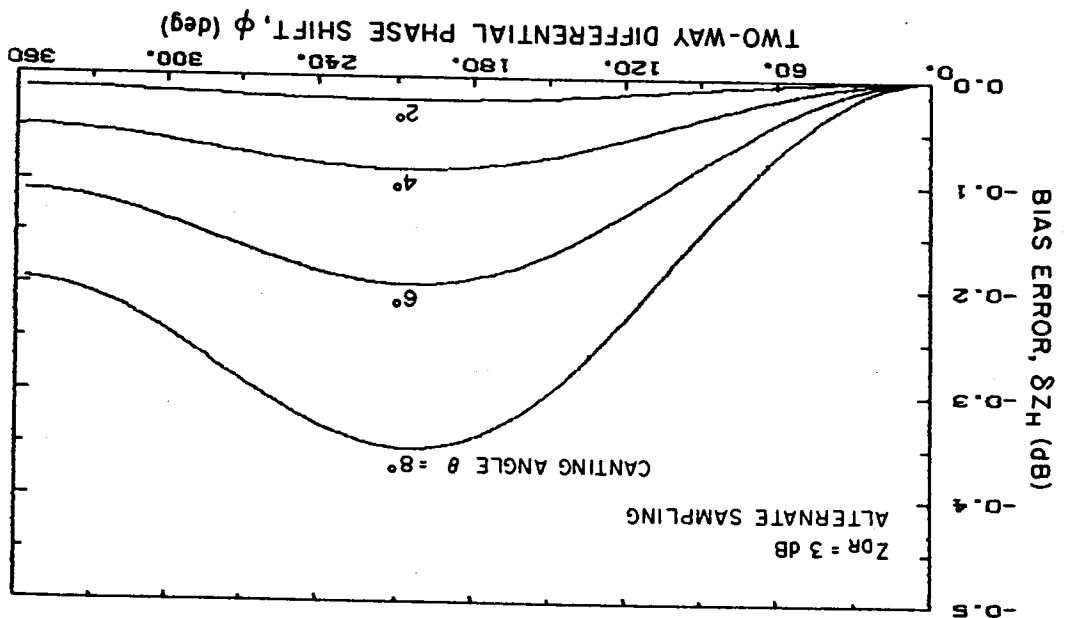
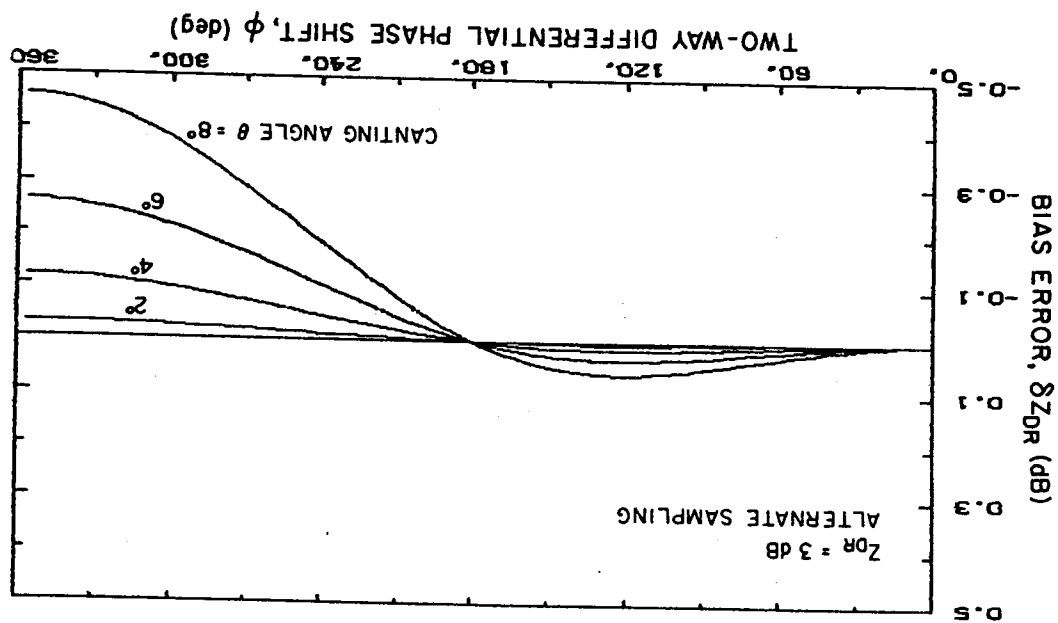


Fig. 3.6 Bias error in differential reflectivity,  $\delta Z_{DR}$ , due to propagation with alternate sampling scheme.



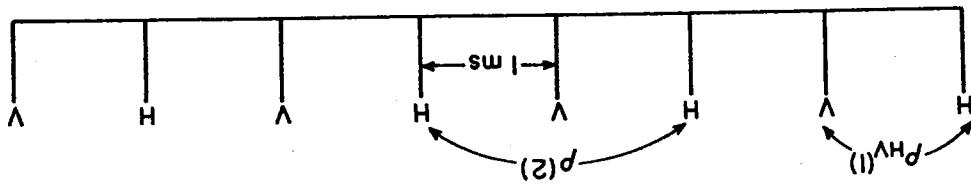
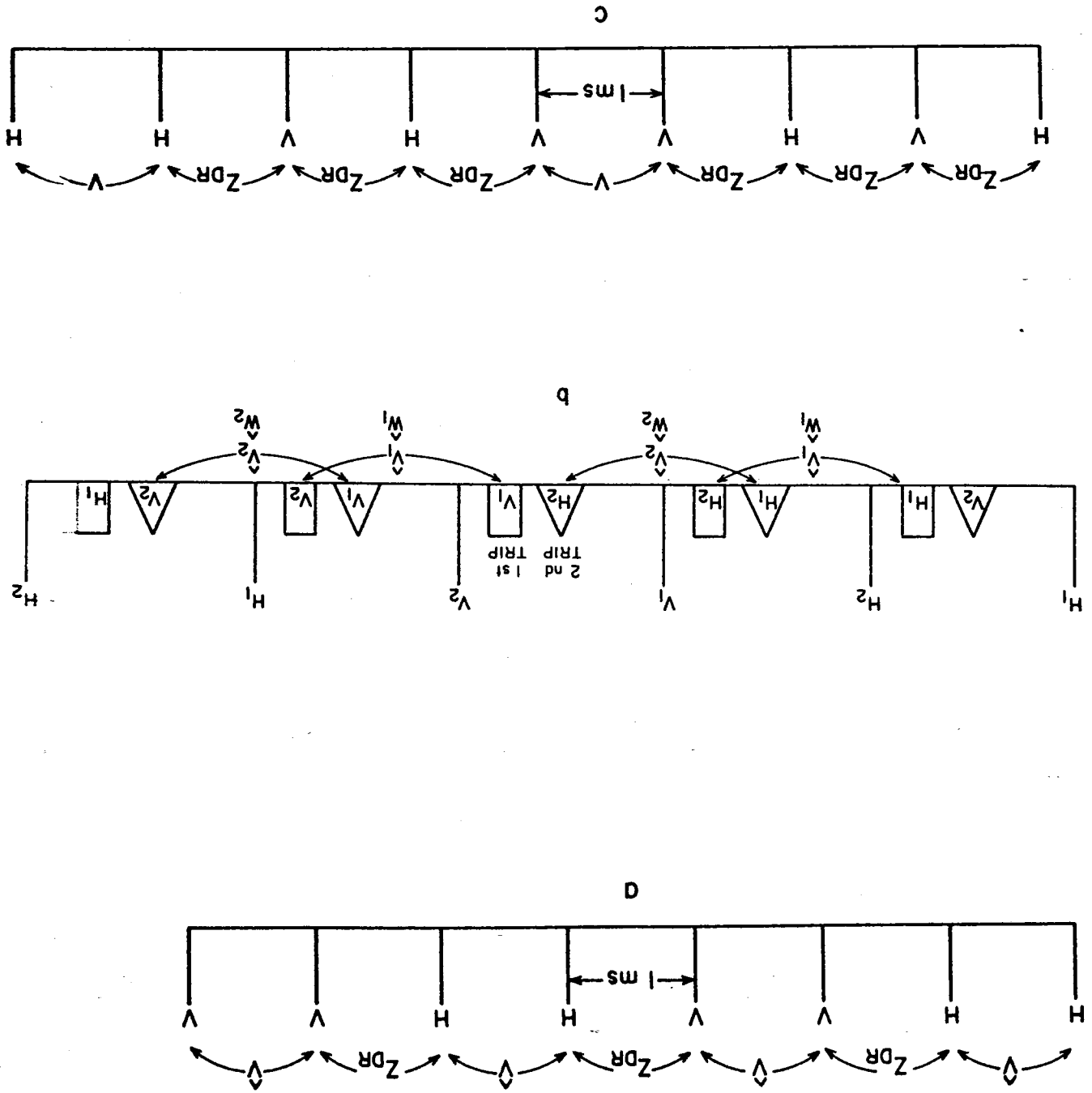


Fig. 3.7 Alternate transmission sequence. Correlations of interest are indicated.

Fig. 3.8 Possible transmission sequences for NEXRAD.





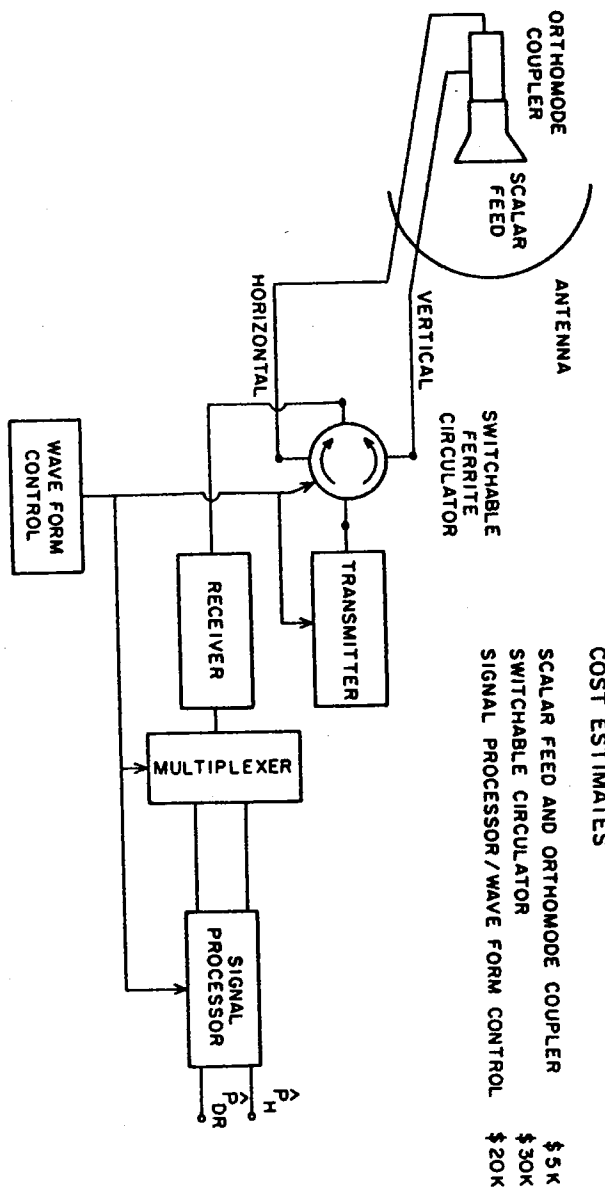
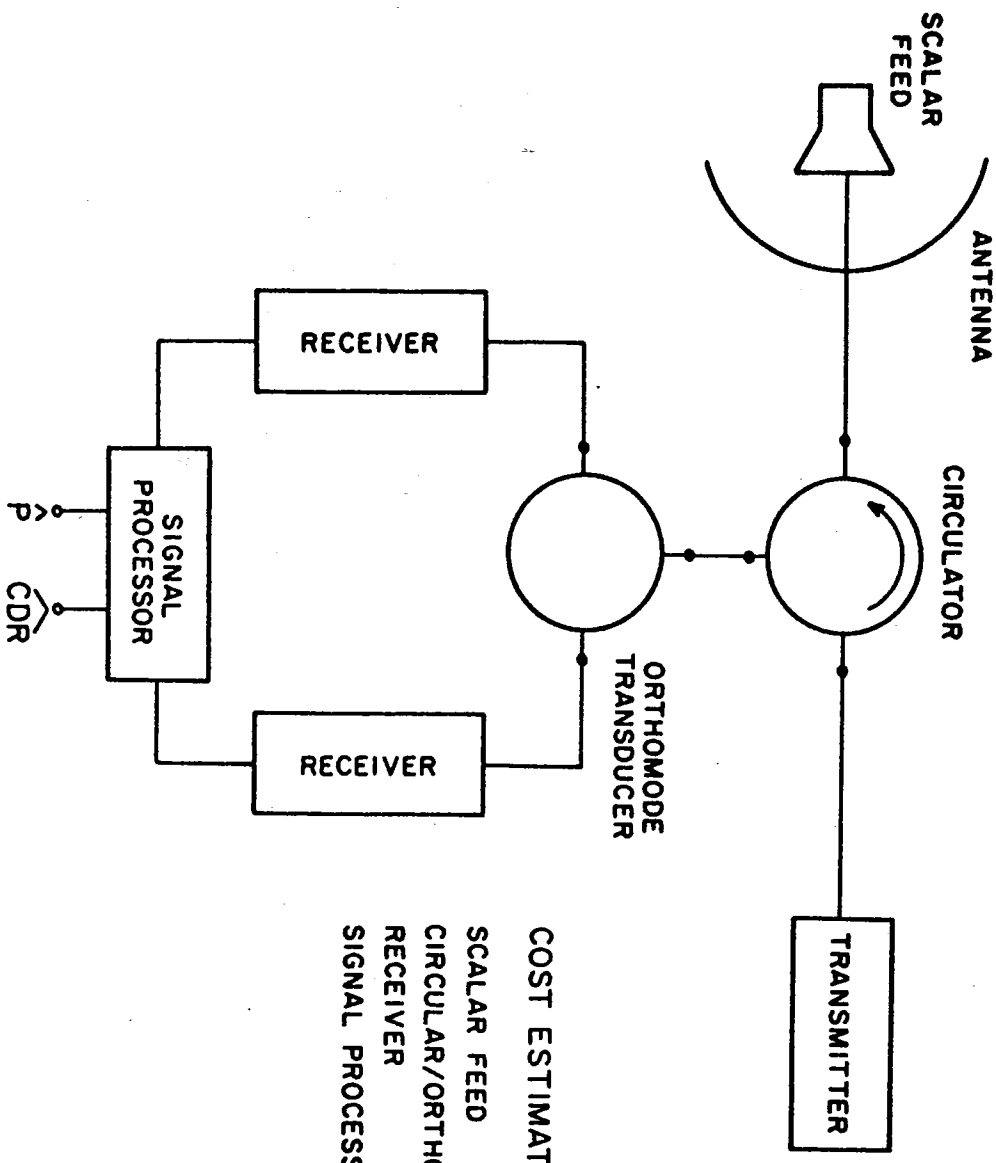


Fig. 3.9 Block diagram of the dual linear polarized radar for ZDR measurement by alternate sampling of  $E_H$  and  $E_V$  signals.



**COST ESTIMATES**

SCALAR FEED	\$ 5 K
CIRCULAR/ORTHOMODE TRANSDUCER	\$ 20 K
RECEIVER	\$ 5 K
SIGNAL PROCESSOR	\$ 20 K

Fig. 3.10 Block diagram of the circular polarized radar for CDR measurement.

Fig. 3.11 Block diagram of radar system for ZDR measurement by simultaneous sampling of  $E_H$  and  $E_V$ .

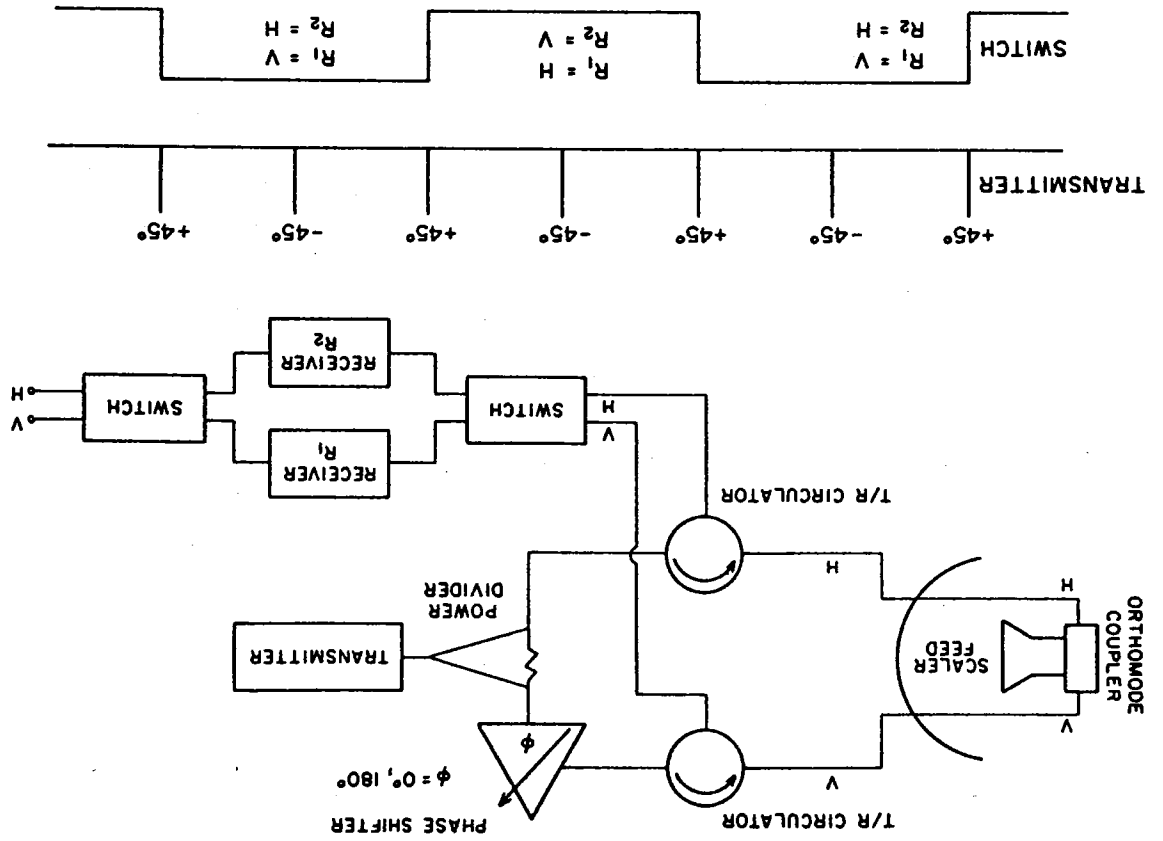


Fig. 3.12 Standard deviations of differential reflectivity,  $\sigma_{DR}$ , with simultaneous and alternate sampling.

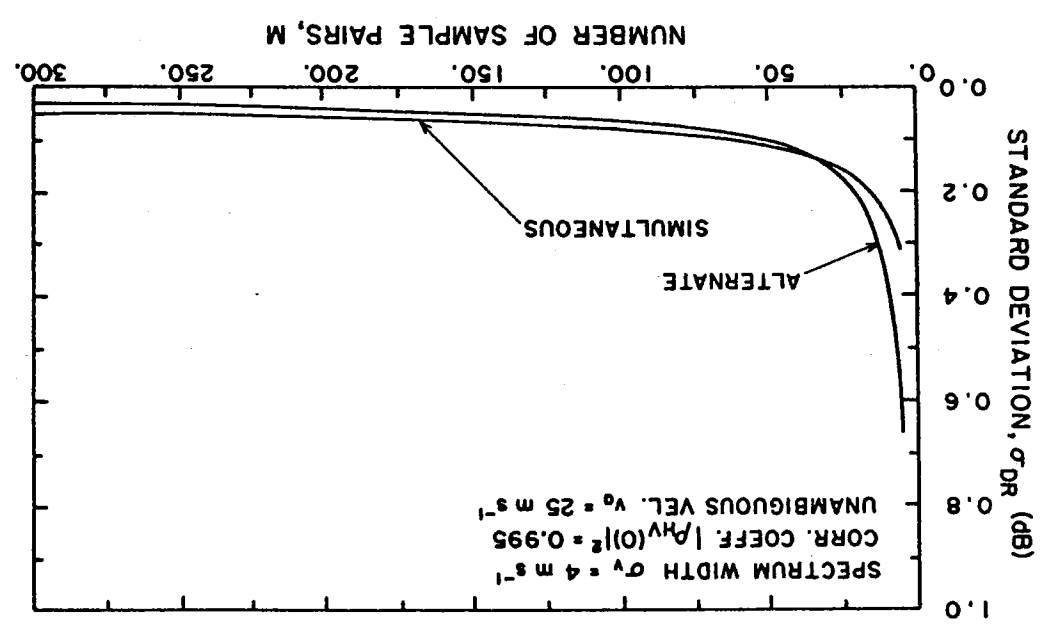


Fig. A.1 Standard deviation,  $\sigma_{DR}$ , versus number of pairs,  $M$ , for simultaneous sampling of  $E_H$  and  $E_V$ ,  $|\rho_{HV}(0)|^2 = 0.995$ .

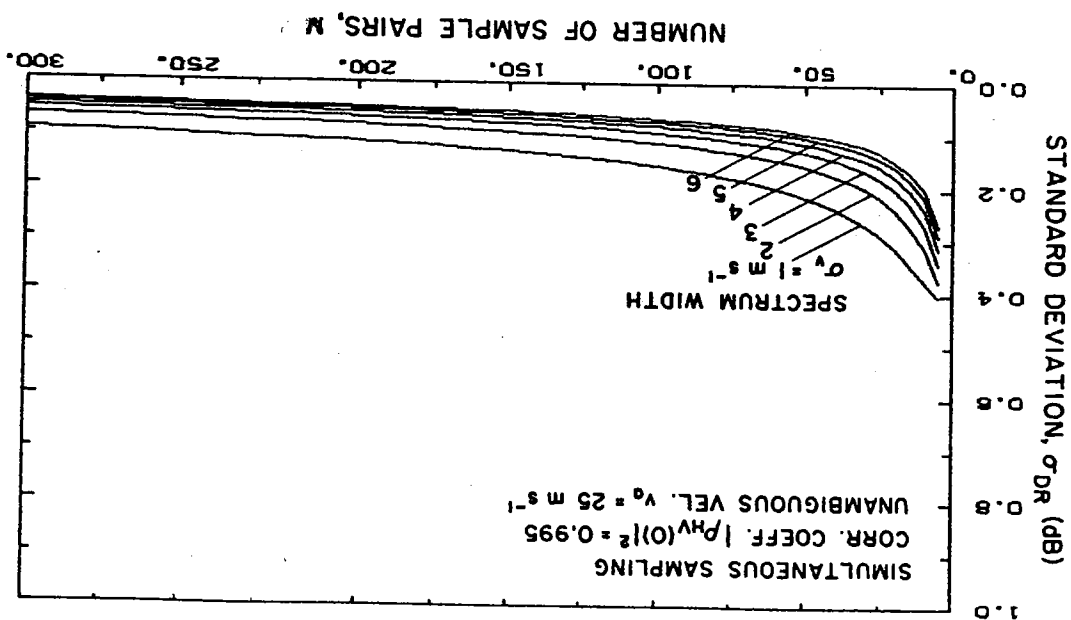
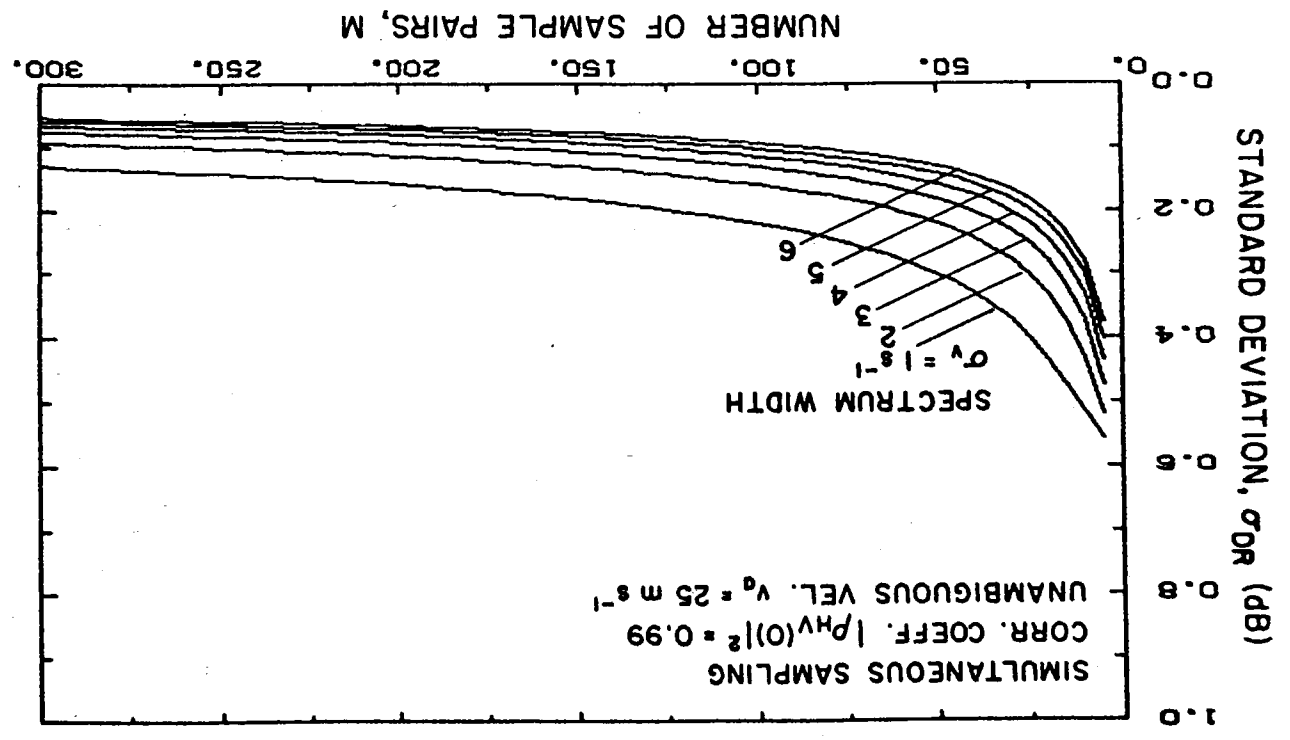


Fig. A.2 Standard deviation,  $\sigma_{DR}$ , versus M for simultaneous sampling of  $E_H$  and  $E_V$ ,  $|\rho_{HV}(0)|^2 = 0.99$ .



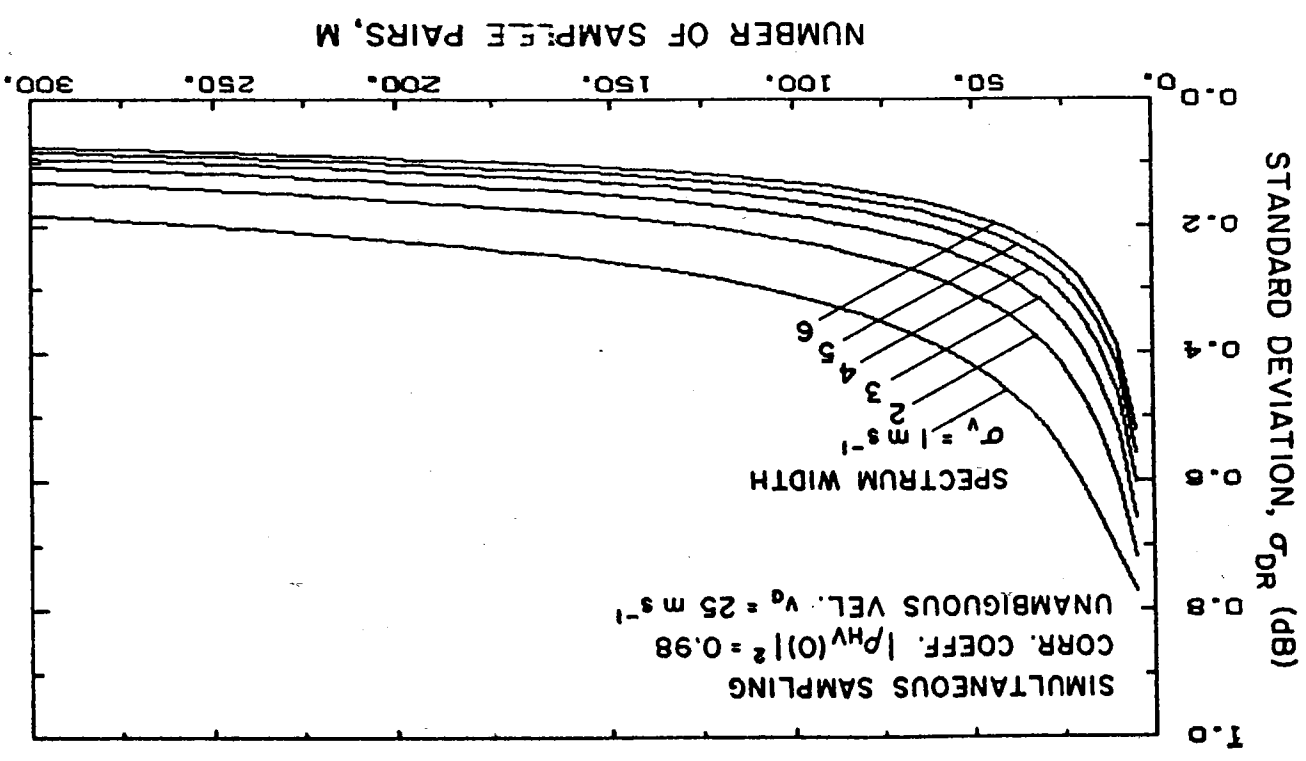
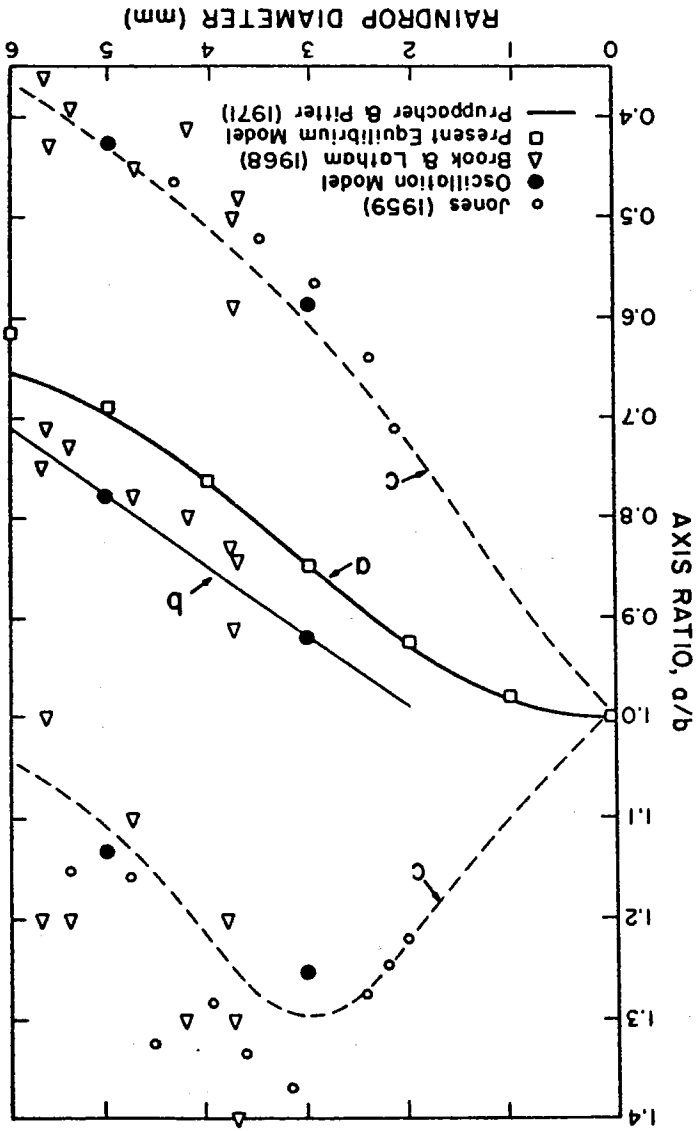


Fig. A.3 Standard deviation,  $\sigma_{DR}$ , versus M for simultaneous sampling of  $E_H$  and  $E_V$ .  $|\rho_{HV}(0)|^2 = 0.98$ .

Fig. A.4 Axis ratio  $a/b$  versus equivalent volumetric drop diameter  $D_e$ .  
 (a) Equilibrium shape, (b) time averaged  $a/b$  for oscillating drops,  
 (c) upper and lower limits of  $a/b$  for oscillating drops.





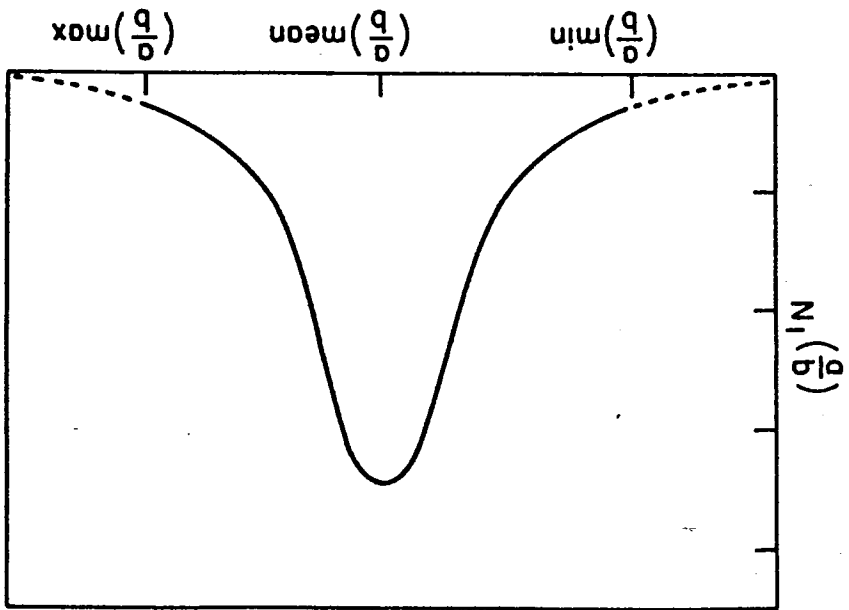


Fig. A.5 Truncated Gaussian distribution of a/b ratios.

Fig. A.6 Pair correlation coefficient variation with; rainfall rate R for oscillation model; standard deviation  $\sigma_\theta$  of canting angle distribution; variation of  $|p(1)|^2$  with spectrum width.

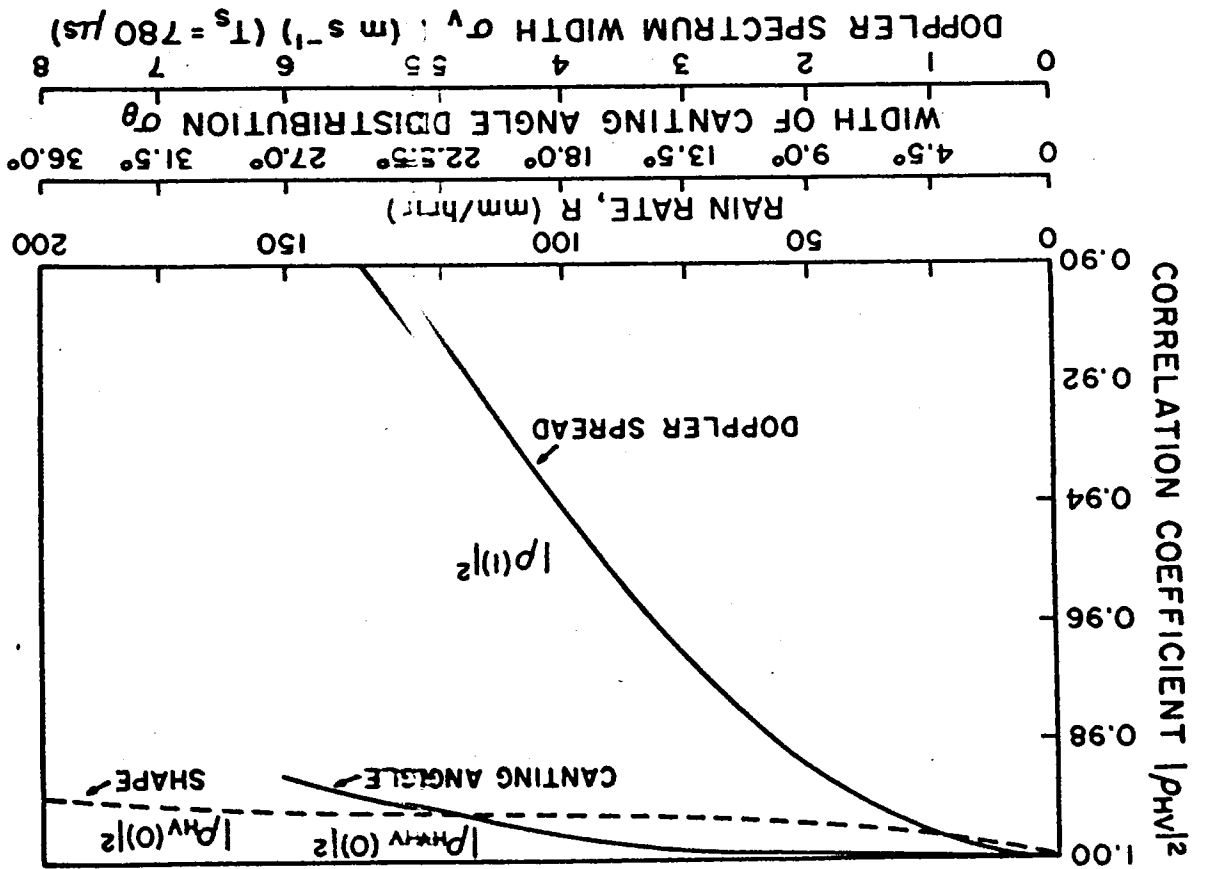


Fig. A.7 Block diagram of radar for simultaneous sampling of  $E_H$  and  $E_V$ .

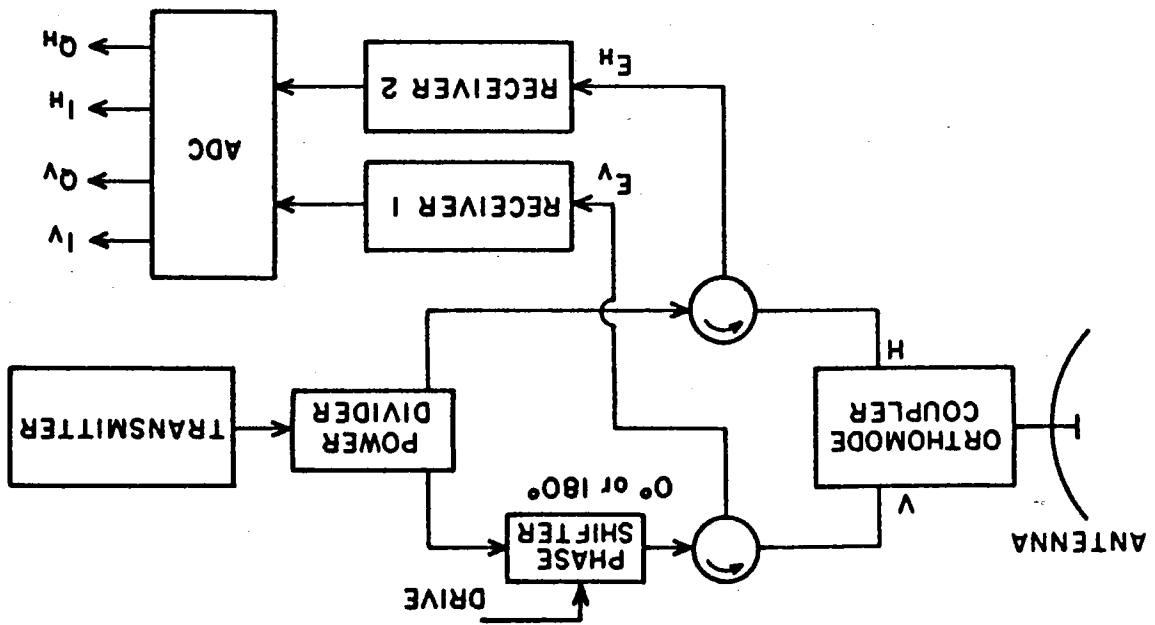
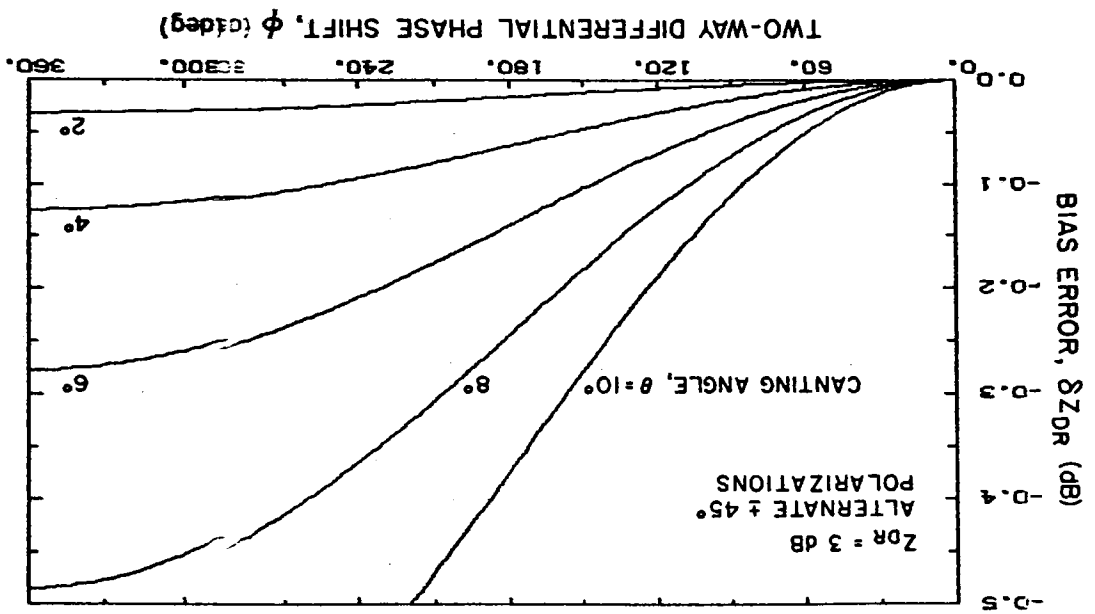




Fig. A.9 Bias error  $\delta Z_{DR}$  after compensation. Transmission is switched between  $\pm 45^\circ$  polarizations alternately;  $Z_{DR} = 3dB$ .



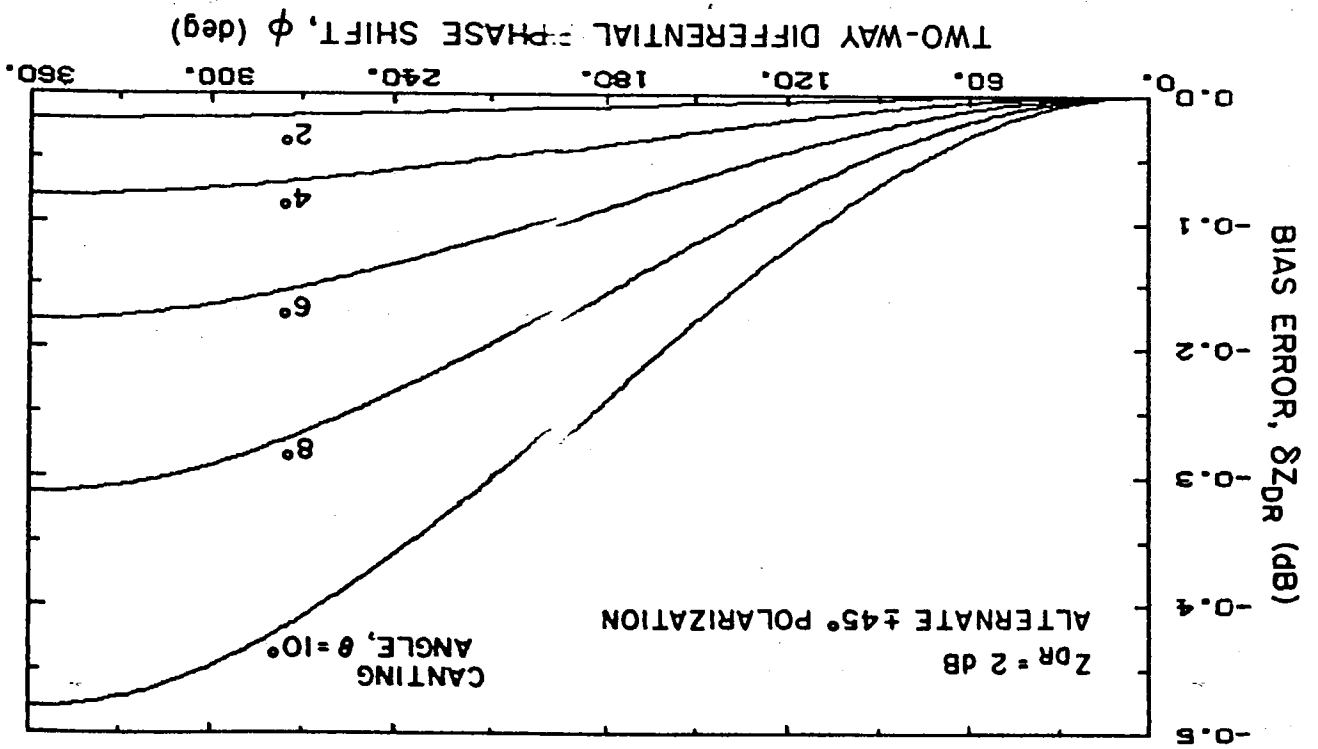


Fig. A.10  $SZ_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = 2$  dB.

Fig. A.11  $\epsilon Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = 1$  dB.

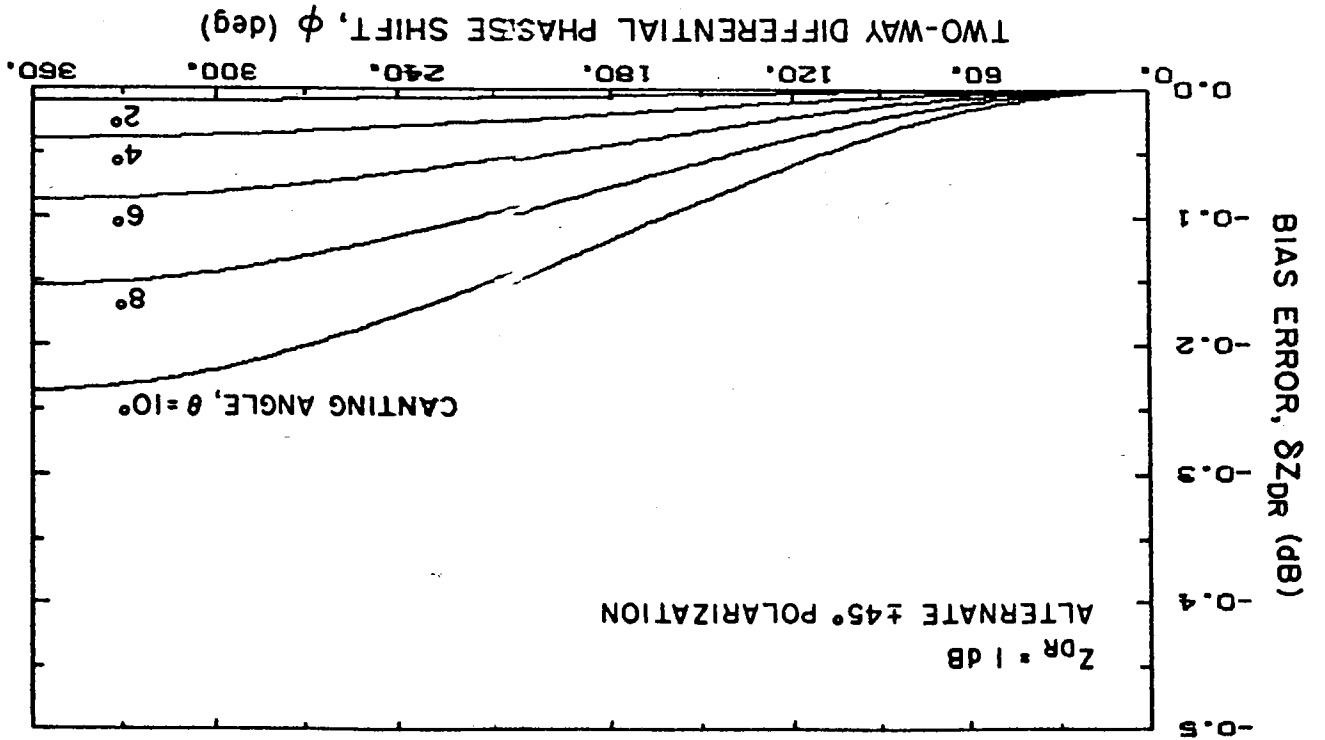
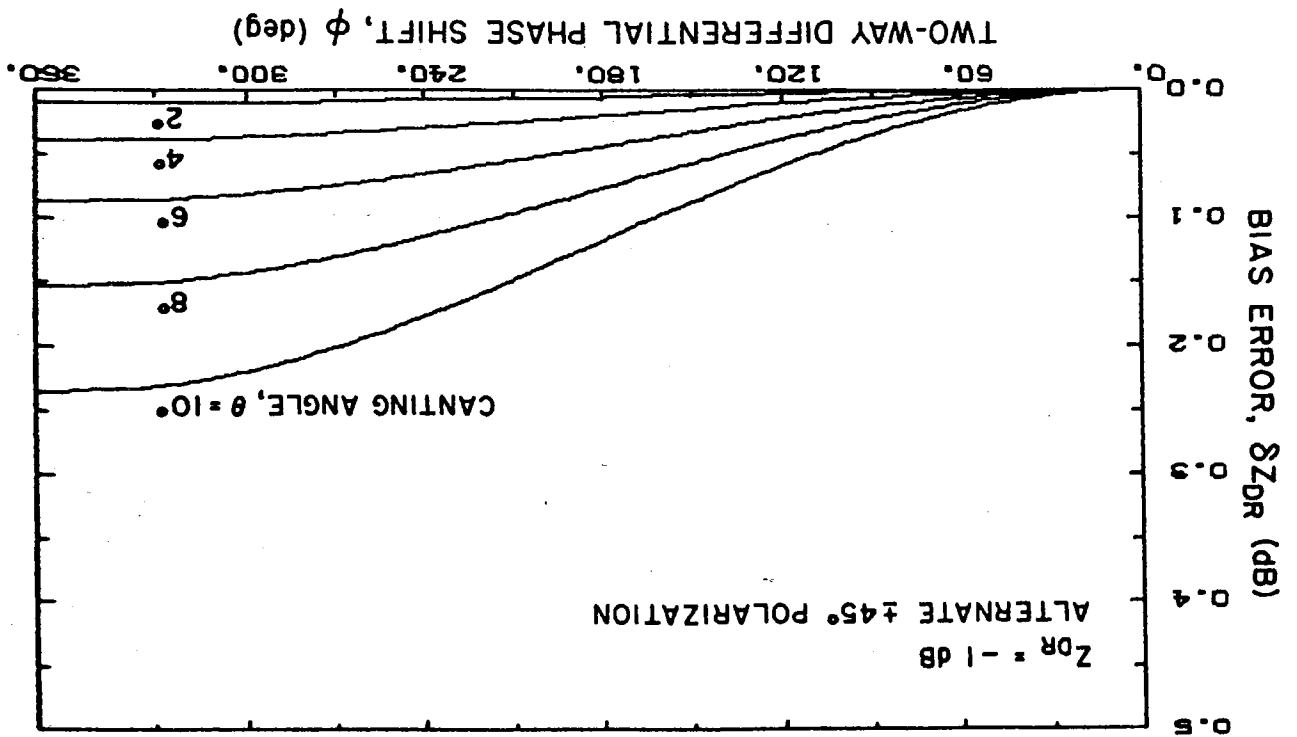


Fig. A.12  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = -1$  dB.





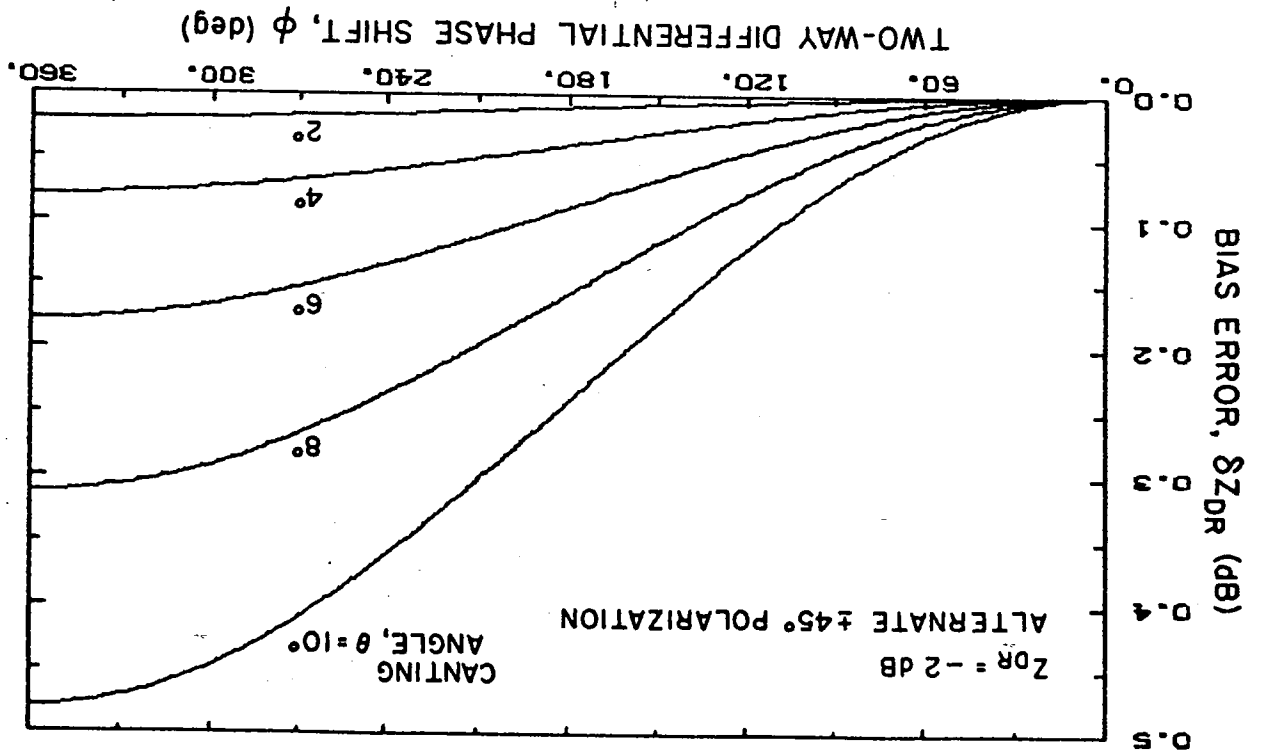


Fig. A.13  $\Delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = -2$  dB.

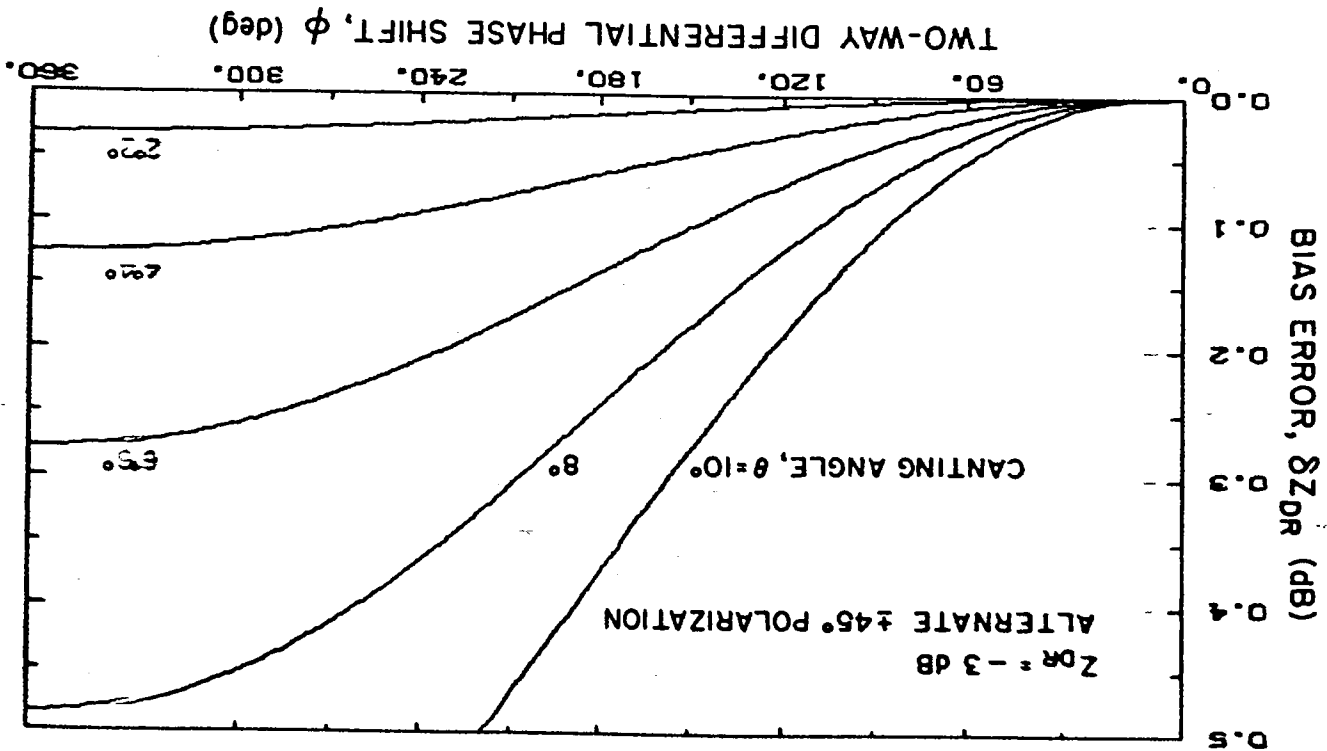


Fig. A.14  $\delta Z_{DR}$  versus  $\phi$  after compensation;  $Z_{DR} = -3$  dB.

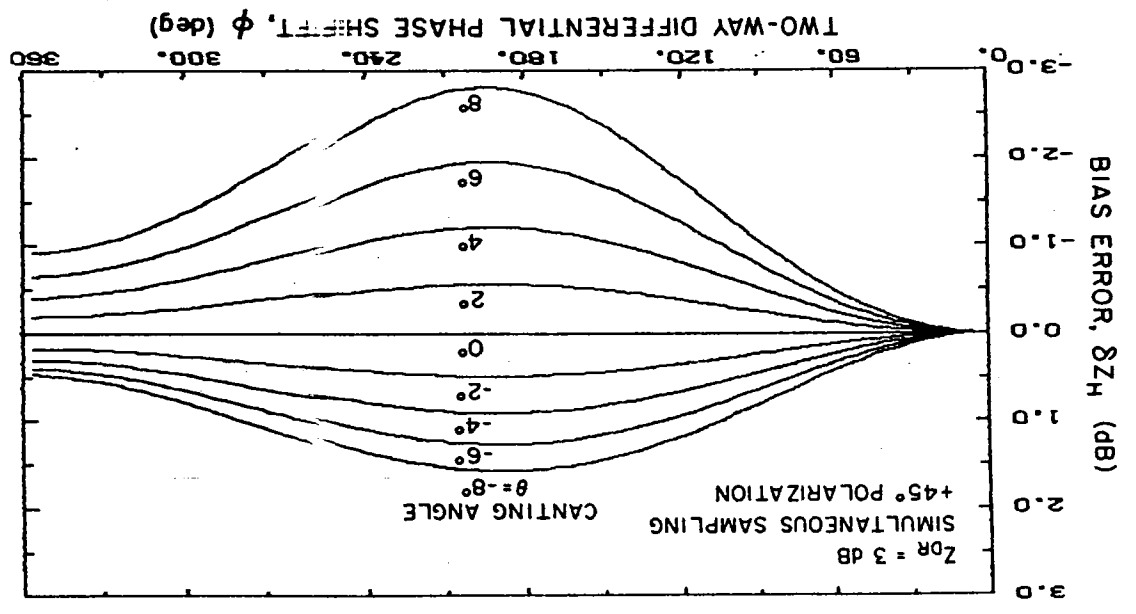


Fig. A.15 Bias error  $\delta Z_H$  versus two way propagation phase shift  $\phi$  with  $\theta$  as a parameter for  $+45^\circ$  polarized transmission;  $Z_{DR} = 3$  dB.

Fig. A.16 Bias error  $\delta Z_H$  versus  $\phi$  after compensation;  $\pm 45^\circ$  polarized transmission;  $Z_{DR} = 3$  dB.

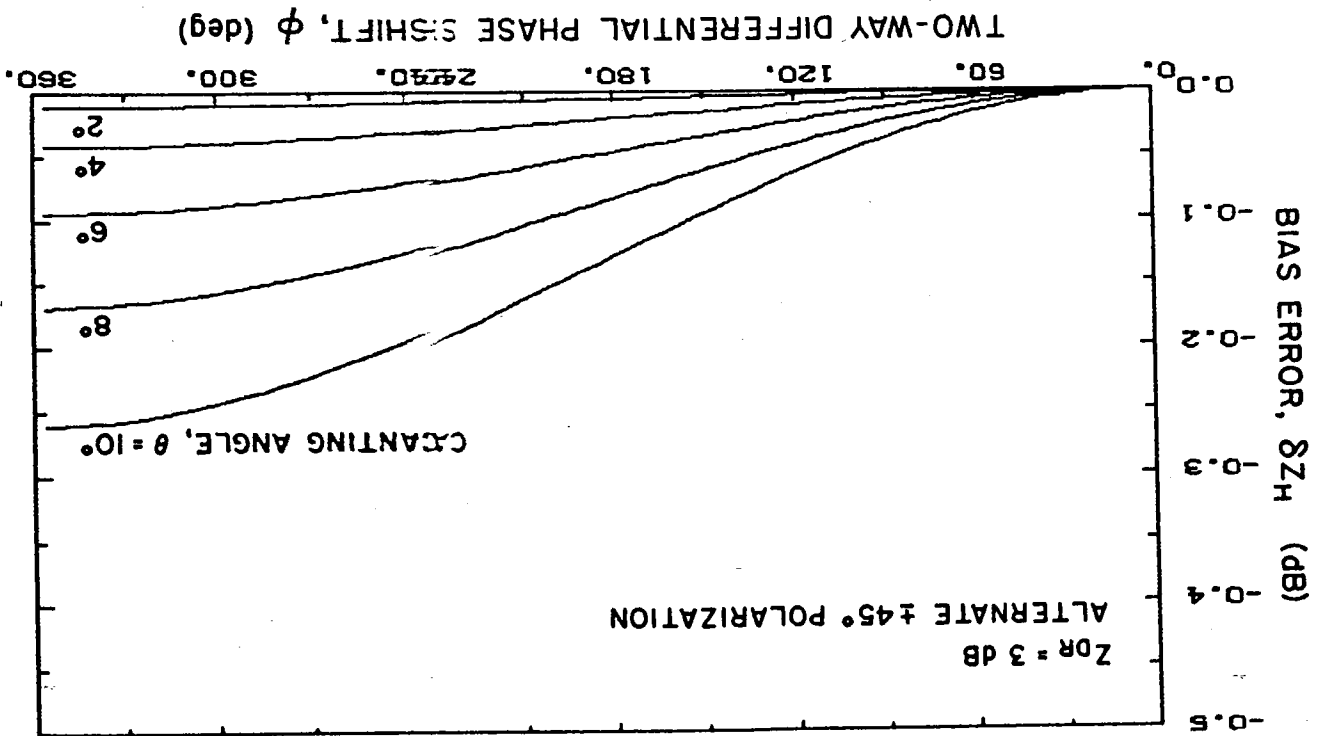


Fig. A.17 Two way differential phase shift per km versus rainfall rate R.

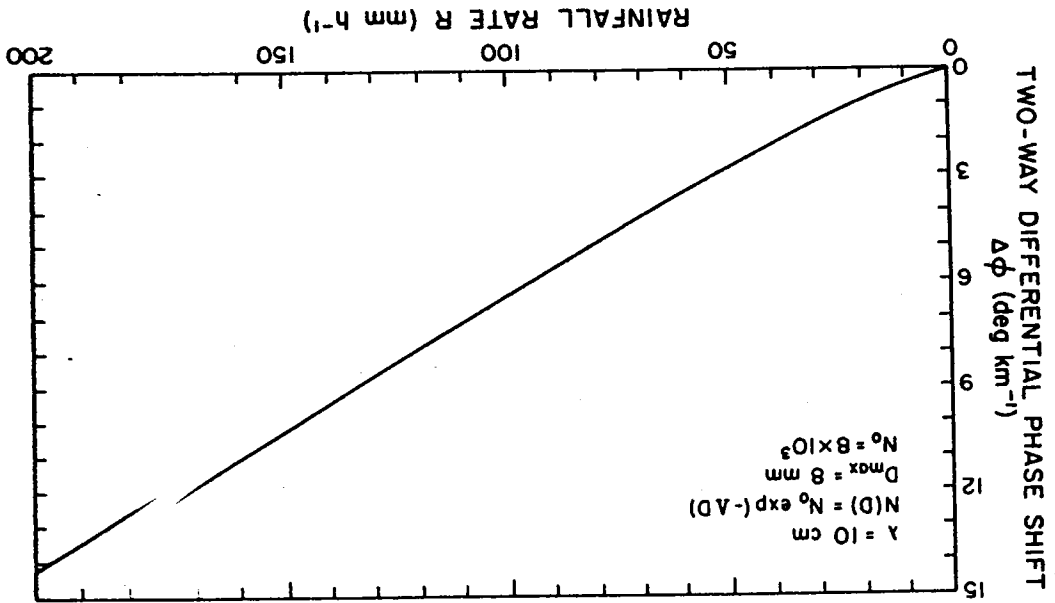
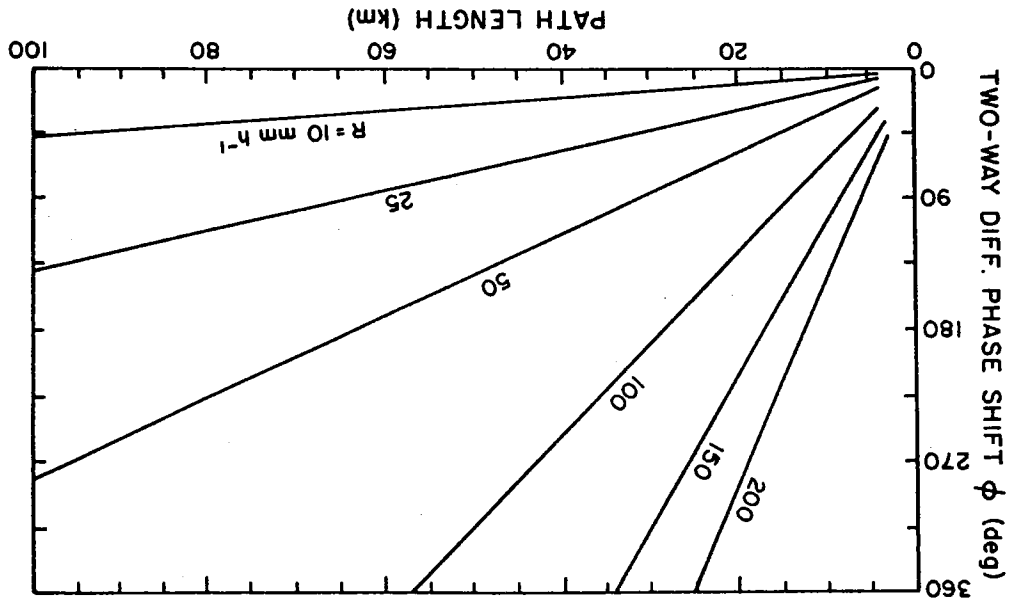


Fig. A.18 Two way differential phase shift  $\phi$  versus path length  $z$  with  $R$  as a parameter.



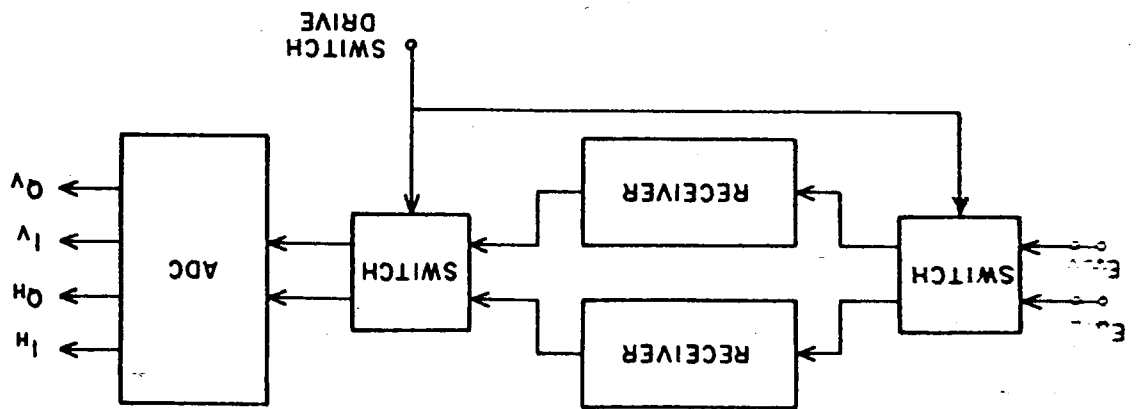


FIG. 8. A.19 Schematic of receiver switching for mismatch compensation.

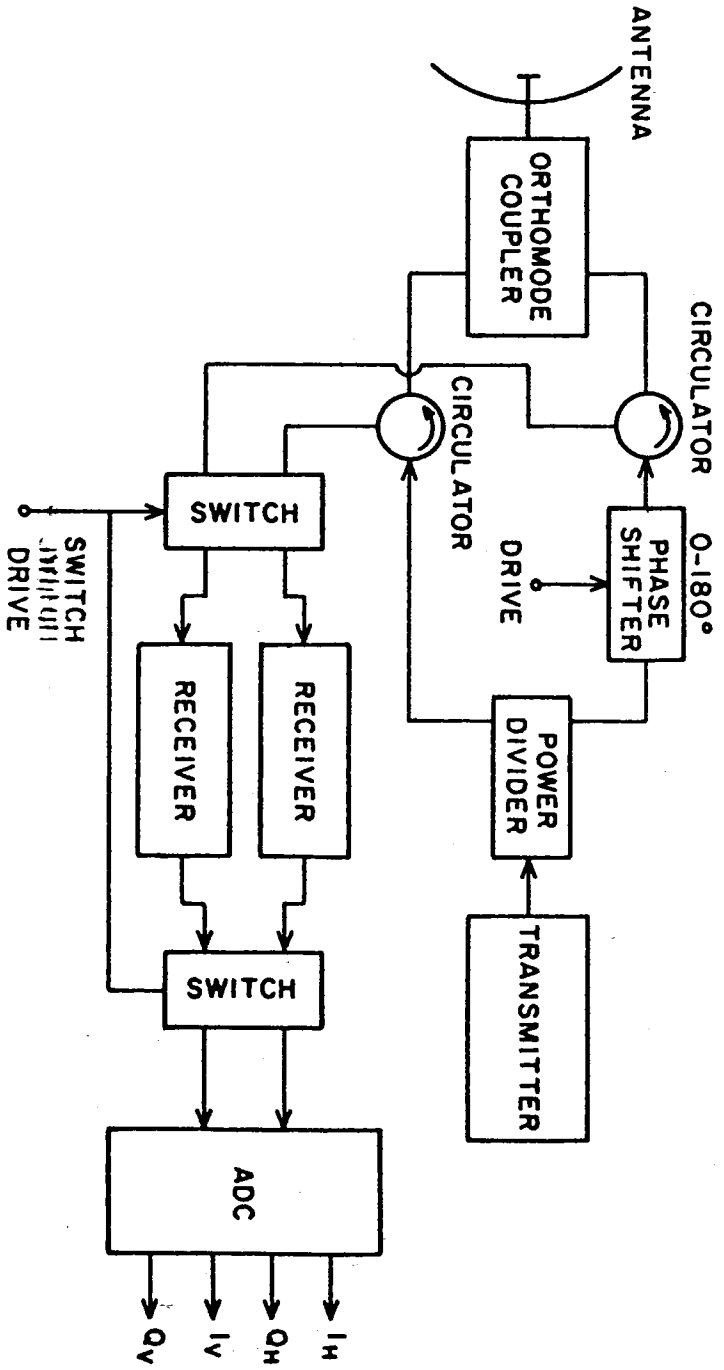


Fig. A.20 Block diagram of a radar with receiver switching.